Formulation 4.0 - Digitalisation for formulated product design and manufacture

Sean Bermingham, Head of PSE Formulated Products and Director of the ADDoPT Project (s.bermingham@psenterprise.com) RSC, London, 13 December 2018





- Process Systems Enterprise (PSE) and Formulated Products
- Digitalisation, Digital Manufacture, Digital Design, Digital Operation, Digital Twins
- Digital Design and Digital Operation of Drug Products and their Manufacturing Processes
 - Virtual DoEs: A rethink of QbD
 - Case studies
- ADDoPT project

In conclusion

Process Systems Enterprise (PSE)

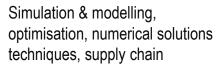
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PSE HISTORY: FROM RESEARCH TO INDUSTRY



1989 - 1997

Imperial College London







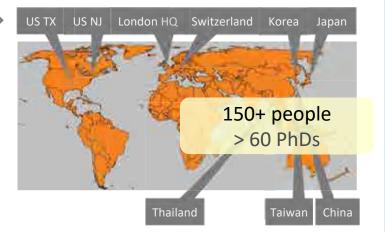
Company 'spun out' Acquires technology Private, independent company incorporated in UK



Now

Advanced Process Modelling

Software, services and solutions Process industry focus Strong R&D



MISSION

"define, develop and drive the adoption of next-generation modelling technology, methodologies and workflows throughout the process industries"



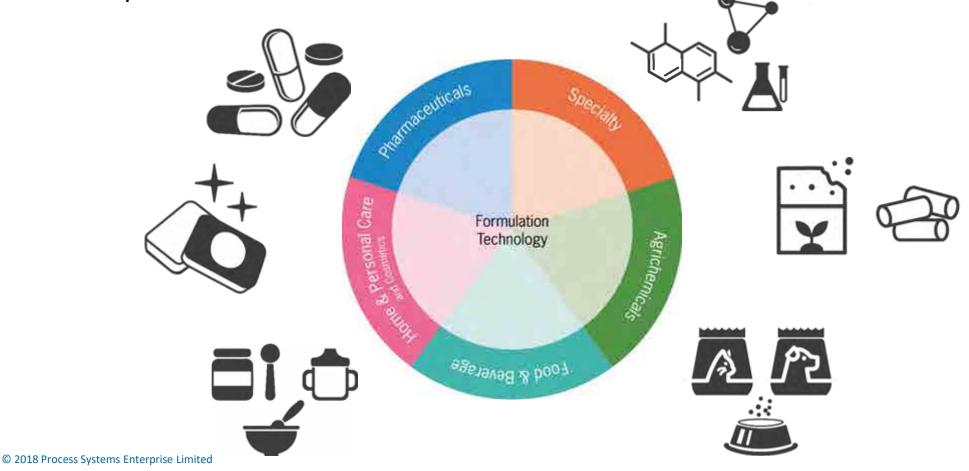
Advanced Process Modelling[®] technology

PSE Formulated Products

PSE Formulated Products Mission & Industries

Mission

"Enable formulated products and their manufacturing processes to be optimally designed and operated with fewer resources, reduced risk and for better end-use performance."



PSE Formulated Products A diverse user base



Digitalisation, Digital Manufacture, Digital Design, Digital Operation, Digital Twins, etc.

In the context of PSE's existing and evolving mechanistic models for drug products and their manufacturing processes

Digitalisation ...

... is the use of digital technologies to change a business model and provide new revenue and value-producing opportunities; it is the process of moving to a digital business

https://www.gartner.com/it-glossary/digitalization/



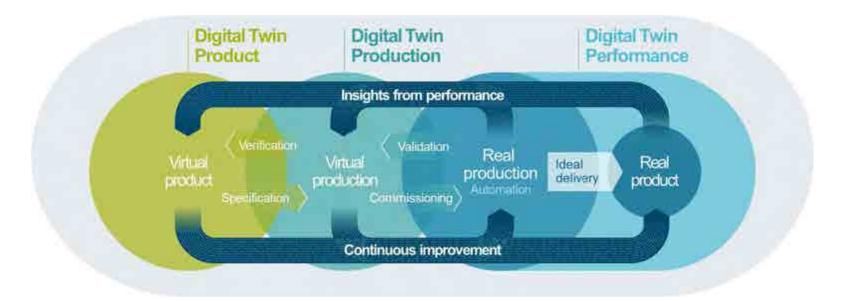
... is *not* the process of converting information from a physical format into a digital one

https://workingmouse.com.au/innovation/digitisation-digitalisation-digital-transformation

Digital manufacture (Industry 4.0) ...

... is the use of an integrated, computer-based system comprised of simulation, 3D visualization, analytics and collaboration tools to create product and manufacturing process definitions simultaneously

https://www.plm.automation.siemens.com/global/en/our-story/glossary/digital-manufacturing/13157



https://www.siemens.com/press/pool/de/events/2018/digitalfactory/2018-04-hannovermesse/presentation-press-conference-prior-to-hm18-e.pdf

A practical definition (Pantelides, APMF 2018, London)

Exploitation of a set of IT technologies

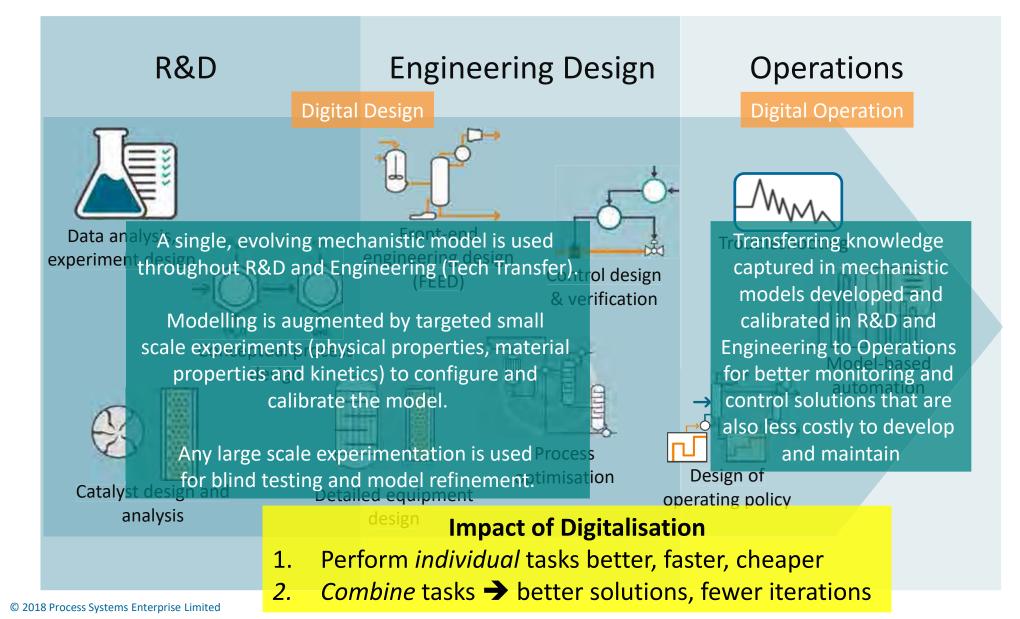
data			
Data	Computation		Algorithms
 Bigger volume 	 More power 		Machine Learning
 Wider range 	 Lower cost 	•	Artificial Intelligence
 Higher quality 	 Lower threshold 		Meta-modelling
 More accessible 		٠	Data Mining

...that have matured over the last couple of decades

...to the point where they can now usefully be applied to practical problems

Digital Design and Digital Operation

Modelling as a central evolving knowledge repository across product & process lifecycle



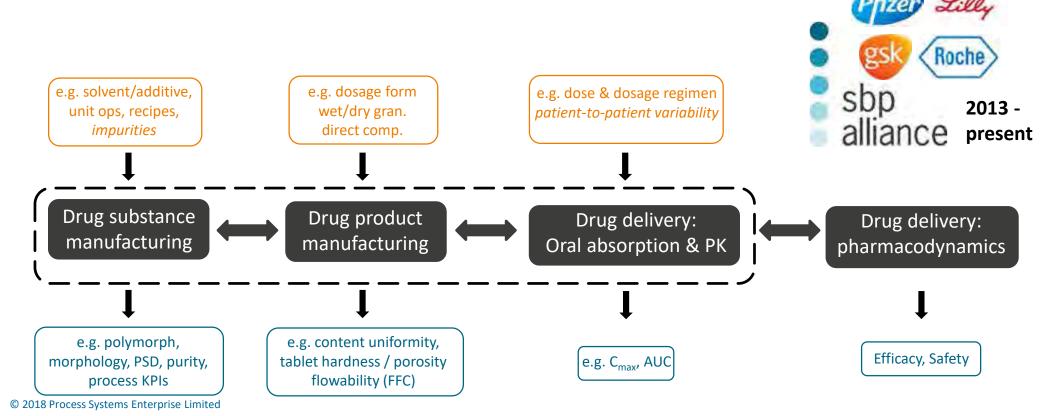
Digital Design and Digital Operation of Drug Products and their Manufacturing Processes

Tools and methodologies used in following cases are applicable to a wide range of formulated products

PSE's vision for the life sciences industries Systems-based Pharmaceutics

Enable formulated products and their manufacturing processes to be optimally designed and operated with fewer resources, reduced risk and for better end-use performance

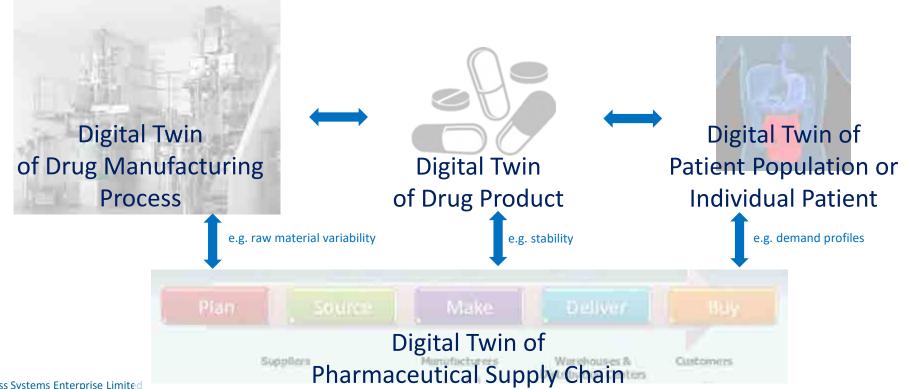
through the rapid configuration, calibration and deployment of mechanistic models using systems approaches



PSE's vision for the life sciences industries In the context of Digitalisation

Enable drug products and their manufacturing processes to be optimally designed and operated with fewer resources, reduced risk and for better end-use performance

through the rapid configuration, calibration and deployment of digital twins based on predictive sciences and data analytics



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Digital Design (and Digital Operation)

- 2017 1 2018

. 2015

2014

+ 2012

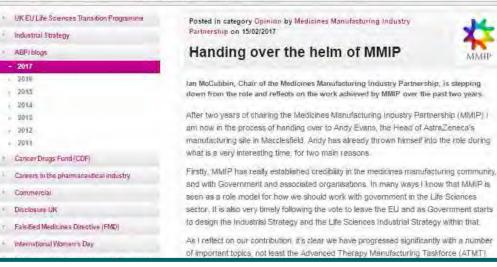
- 2012

2011

Vision as summarised by Ian McCubbin (MMIP chair) when ADDoPT proposal was submitted and approved for funding



/ www.abpi.org.uk/our-wor	/news/2017/Pages/Handing-over-the-helm-of-MMIP a	aspx#
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environment. ADDoPT is the Advanced Digital Design of Pharmaceutical Therapeutics, it creates virtual medicine manufacturing systems to make sure they are effective and efficient before creating them in the real world.



and ultimately commercialisation and the sector's contribution to the UK economy. The Medicines Manufacturing Innovation Centre will provide an open-access hub where medicines manufacturing stakeholders can collaborate, research and pull through emerging technologies and manufacturing processes into a commercial manufacturing environment. ADDoPT is the Advanced Digital Design of Pharmaceutical Therapeutics, creates virtual medicine manufacturing systems to make sure they are effective and efficient before creating them in the real workt.

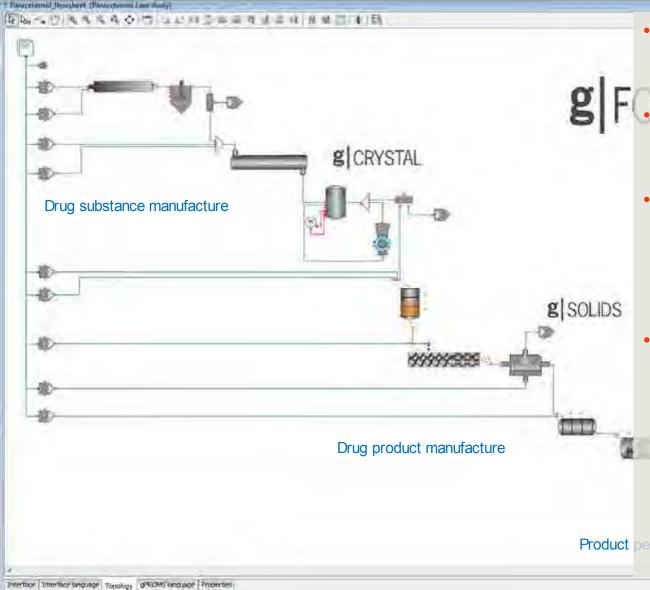
With the support of The Association of the British Pharmaceutical Industry, Biolindustry Association, Innovate UK Knowledge Transfer Network and of course all the companies who have committed their valuable time and energy, MMIP has been able to create momentum at exactly the right time. Some may say luck, but to paraphrase Gary Player.



ACVANCED DISTRAL BESIDA DE PHARMACEUTICAL THERAPEUTICS

2015 - 2019

Digital Twins for Integrated Design and Optimisation of Drug Products and their Manufacturing Processes



Mechanistic unit operation models

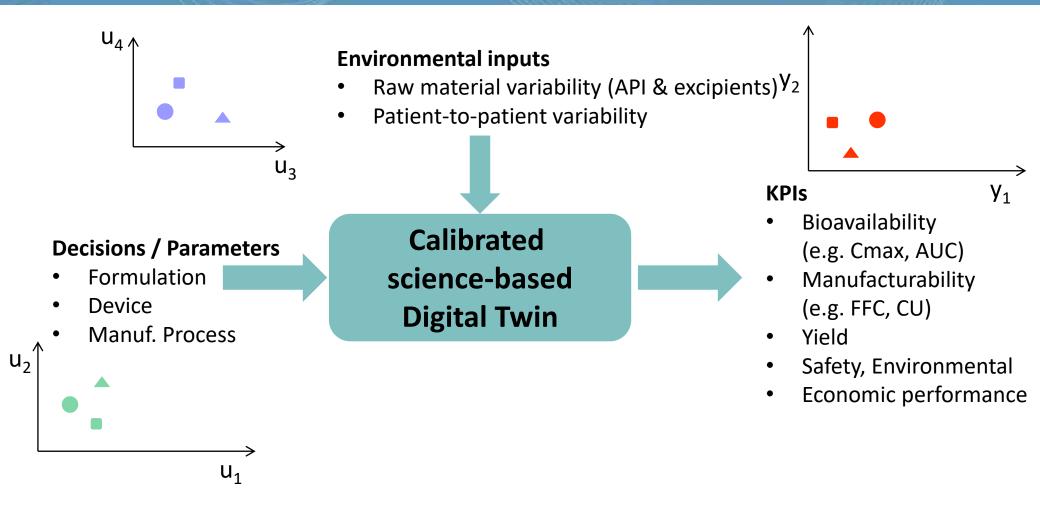
 Typically calibrated on a per unit operation basis

- Calibrated unit operation models can be used to construct integrated system / flowsheet models
- Calibrated unit op <u>and</u> flowsheet models can be used for
- Optimal design and operation
- Sensitivity analysis to identify and mitigate robustness issues
- Monitoring (e.g. soft sensing) and advanced control

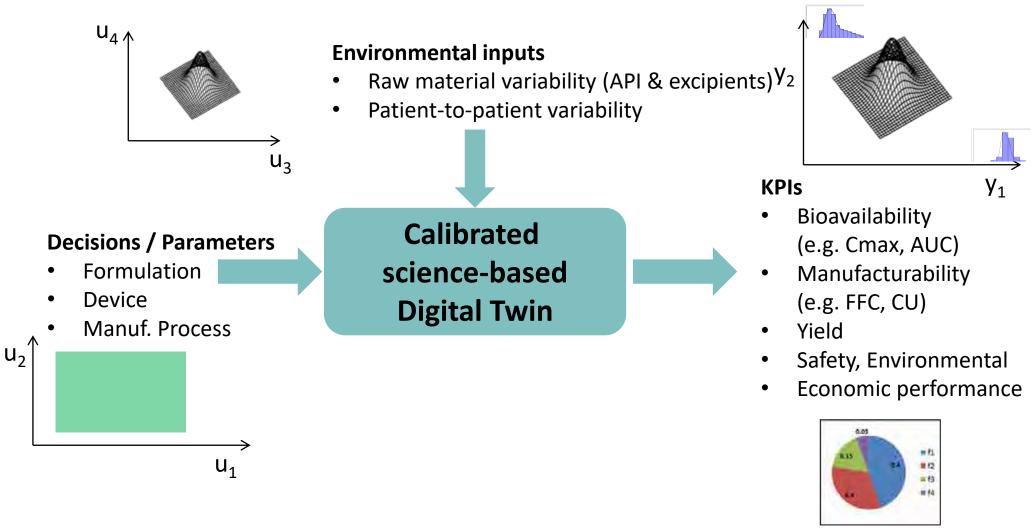
Product perform applications (e.g. MPC)

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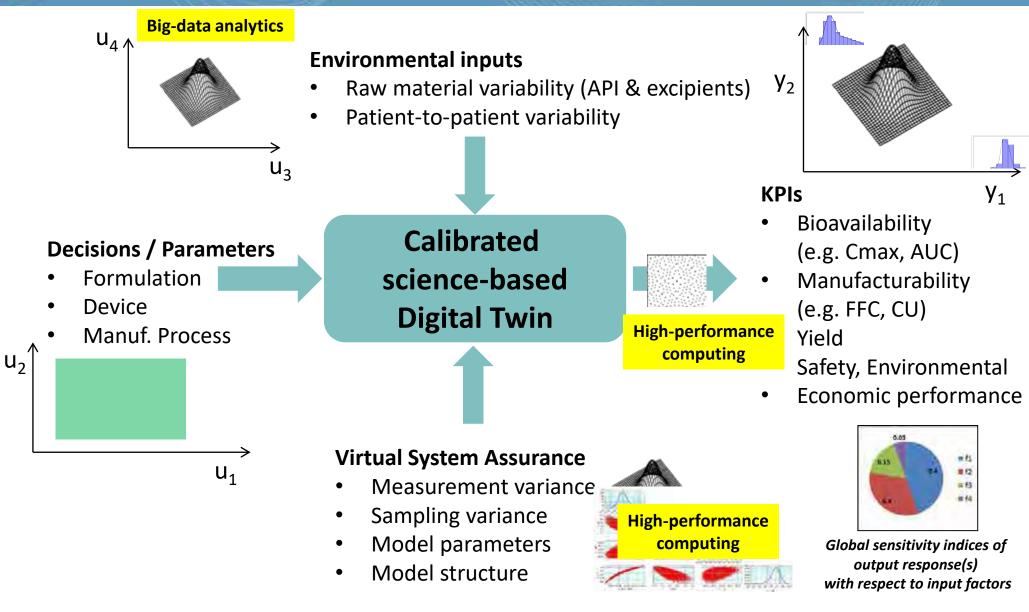
Digital Twin based DoEs for comprehensive robustness approach What we currently do: point calculations



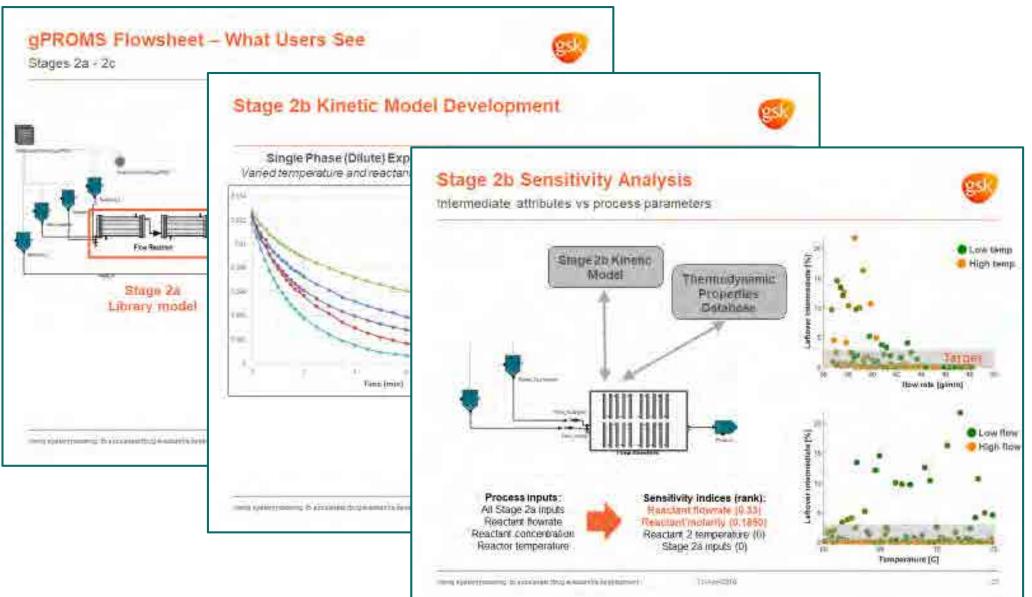
Digital Twin based DoEs for comprehensive robustness approach What we are really looking for: global system behaviour



Global sensitivity indices of output response(s) with respect to input factors Digital Twin based DoEs for comprehensive robustness approach Determine combination of parameters that has minimal/acceptable sensitivity to variability



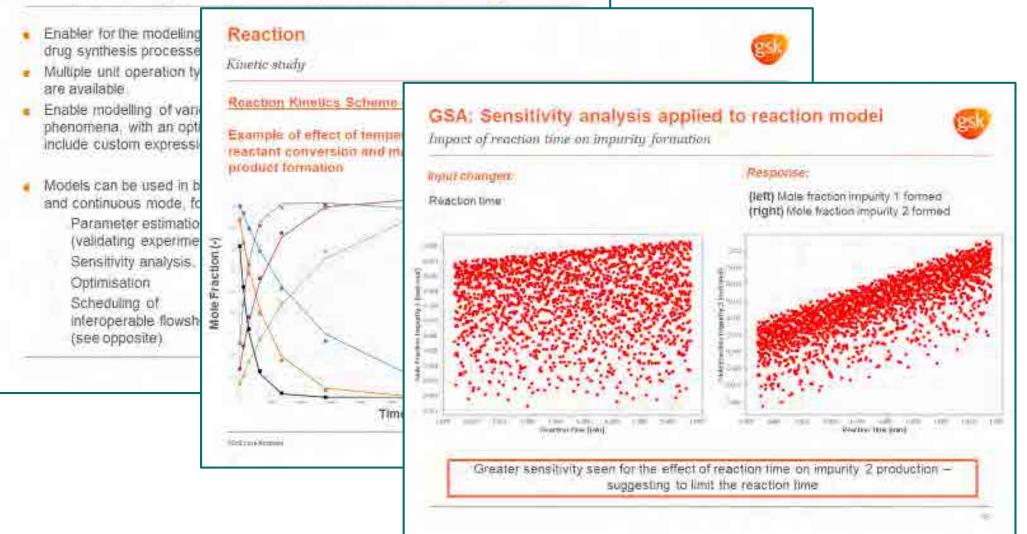
Digital Twin based DoE applications Drug synthesis – Continuous



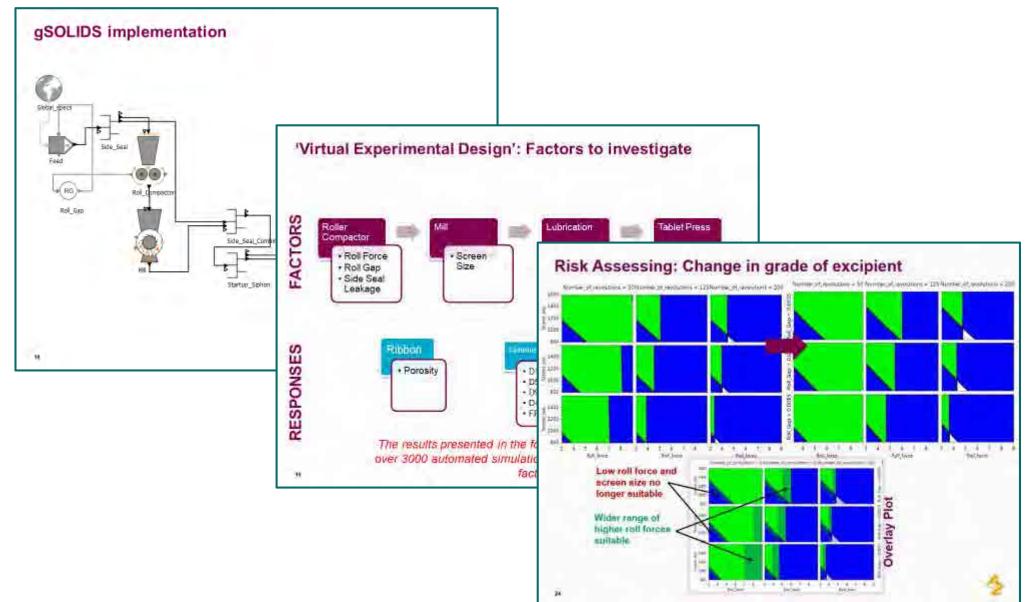
Digital Twin based DoE applications Drug synthesis – Batch

gPROMS Formulated Products

Enabling understanding of interoperable batch processes through digital design



Digital Twin based DoE applications Drug product manufacture

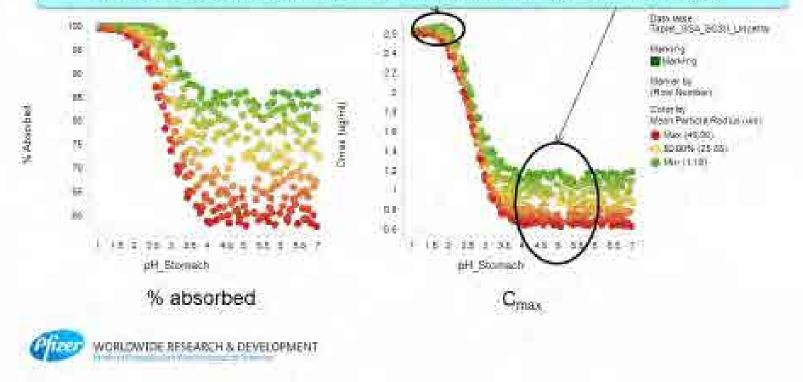


Digital Twin based DoE applications **Product performance for OSD**

Uncertainty Analysis: Influence of Particle Size and Gastric pH

API particle size has no impact on tablet performance in healthy volunteers but significant impact on % absorbed in patient population

Use of GSA highlights the need for setting particle size specifications to ensure right exposure / profile obtained in the patient population



Digital Twin based DoE applications SbP example: Drug Manufacture and Product Performance

M7077

Solid Drug Product and Process Design using Multi-Scale Interconnected Flowsheet Modelling and Global System Analysis

Marta Moreno-Benito^a, Pankaj Doshi^b, Conrad Davies^a, Dan Braido^c

Worldwide Research and Development, Pfizer Inc., Sandwich, United Kingdom, Worldwide Research and Development, Pfizer Inc., Groton, CT, US, Process Systems Enterprise Inc., Cedar Knolis, NJ, US



CONTACT INFORMATION: Marta.MorenoBenito@pfizer.com

PURPOSE

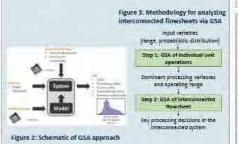
- Combine mechanistic models of standard unit operations at multiple scales to predict the impact of design decisions on duality attributes.
- Capture all relevant interactions between multiple stages and scales of product and process design.
- Use Global Systems Analysis (GSA) to identify the design space. around the process to deliver quality drug product performance.

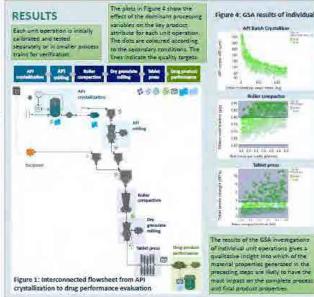
METHODS

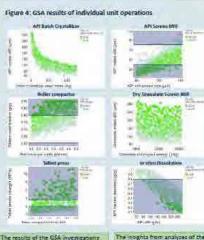
A comprehensive flowsheet (Figure 1) using multi-scale population balance models of unit operations is implemented in gPROMS FormulatedProducts

A GSA approach evaluates the key performance indicators for a range of values of the input variables to the model of each individual operation (Figure 2). Monte Carlo simulation scenarios are generated, and statistical measures and Sobol indices are calculated to quantify the impact on the model outputs.

To analyse the holistic system, a hierarchical approach (Figure 3) is used. Following the analysis of individual units, the whole system is evaluated simultaneously with a reduced set of factors based on the learnings of the individual investigations.







individual unit operations are

estimates of equipment and

rivestigation space for the

complete process model

material variability to drive the

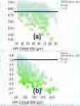
used along with practical

Table 1: Sensitivity Indices of interconnected flowsheet



CONCLUSIONS

- Global Systems Analysis of holistic process models is used to identify the critical process parameters from API. crystallization to tablet compaction affecting critical quality attributes and performance of solid drug product.
- The results obtained can help to reduce the time required in product development and improve the quaity of medicines through detailed design space exploration, indicating areas of missing knowledge, and identifying critical process parameters affecting quality attributes and performance.
- There is still a need to address some challenges associated with interconnected unit operations. The main challenges are related to linking intermediate variables like material properties obtained in one unit operation, which could affect downstream processes. It is our goal to leverage statistical models and experimental data to fill the remaining gaps in the mechanistic models and material properties of complex phases.
- Using this methodology it may be possible to optimise the process globally and select the most suitable unit. operations to satisfy key product attributes and enhance process robustness (Figure 6).



uncereare states

Figure 6: Comparison of GSA prediction with (a) and without (b) API milling after crystallization

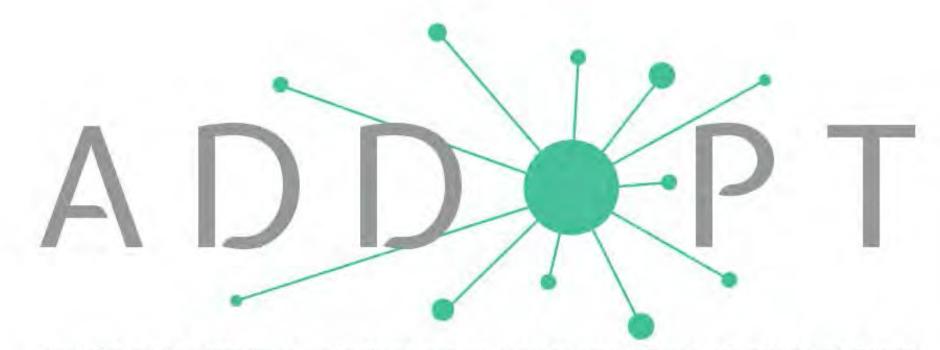
ACKNOWLEDGEMENTS

The authors would like to thank Ravi Shanker, Mary am Ende, Martyn Ticehurst, Bob Docherty, and Sean Bermingham and SbP Alliance for Systems-based Pharmaceutics vision, as well as Susan Ewing, Kevin Girard, Bill Ketterhagen, Hugh Verrier for fruitful discussions and Dana Barrasso, David Slade and Maria Fuentes-Gari for their support of gPROMS FormulatedProducts.





Further development of tools discussed so far Development of a wide range of complementary digitalisation tools



ADVANCED DIGITAL DESIGN OF PHARMACEUTICAL THERAPEUTICS

Instigated and supported by

abr



Medicines Manufacturing Industry Partnership

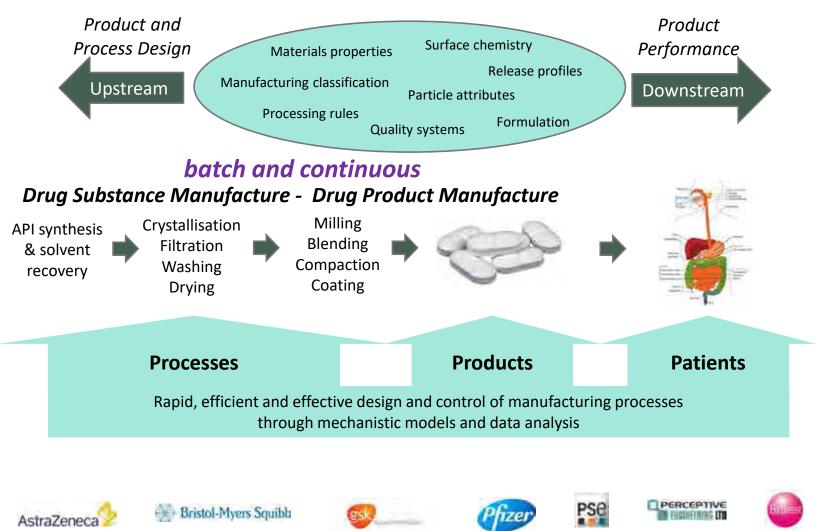
Innovate UK

BIA

Vision

Creating virtual medicine manufacturing systems to make sure they are effective and efficient before creating them in the real world Ian McCubbin, MMIP chair 2015-2017





Strathclyde

Glasgow

Data Cavina

UNIVERSITY OF LEEDS

CAMBRIDGE

lartree Centre

Development of digital design and digital operation tools using

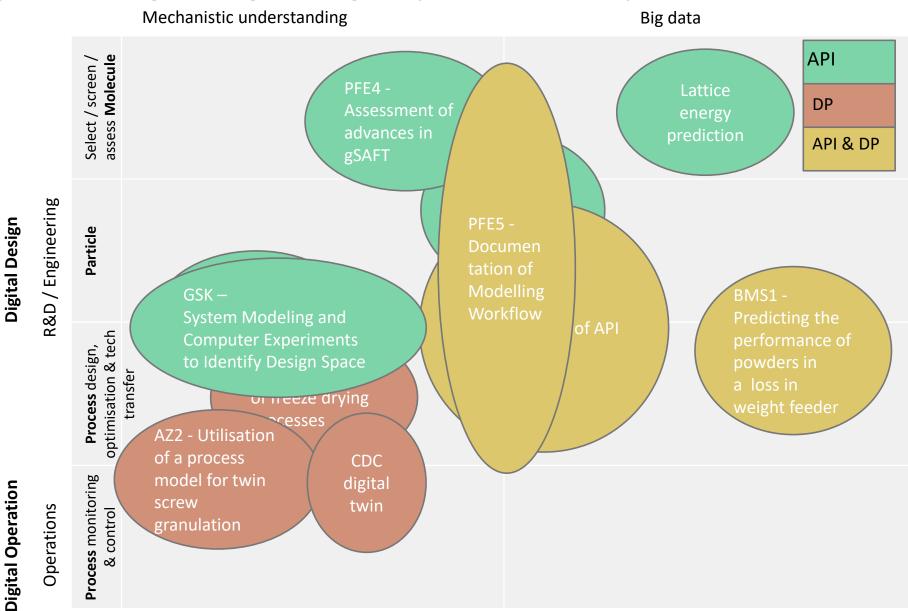
Mechanistic understanding

Big data

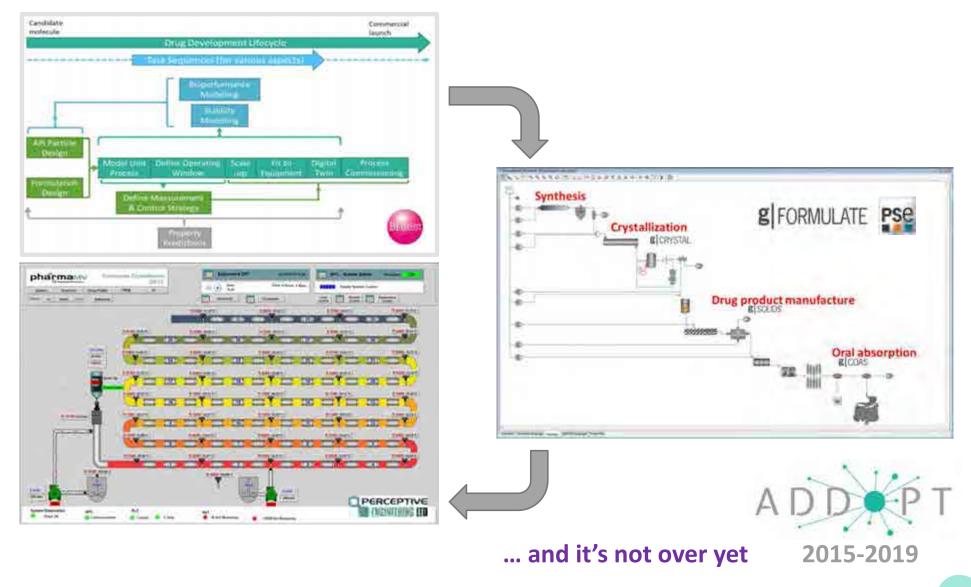
Engineering / R&D		Select / screen / assess Molecule	Solid form assessment – CSD-Materials (WP5) Solubility prediction – gSAFT (WP5) Stabi Particle surface visualisation	modelling platform (WP1)	Solubility prediction (WP3&5) (P5)
	(&D		and analysis (WP4&5) Dissolution	latfo	absorption
	'ing / R	Particle	Lattice energ	delling p	diction (WP3)
	neei	ď	Morphology prediction - VisualHabit (WP4?) Stabi		Flowability prediction (WP3) /P5)
	ngi			syste	
	ш	Process design, optimisation & tech transfer	Drug substance manufacture unit operations (WP5)	Workflows & Integrated system	
		Proces otimisa tra	Drug product manufacture unit operations (WP4)	ows &	
		ð	Leveraging Mechanistic models	rkfl	Aggregating data from a mixture of sources
	Operations	Process monitoring & control	for Design & Operation. (WP6)	Moi	to develop, design, and operate processes. (WP6)

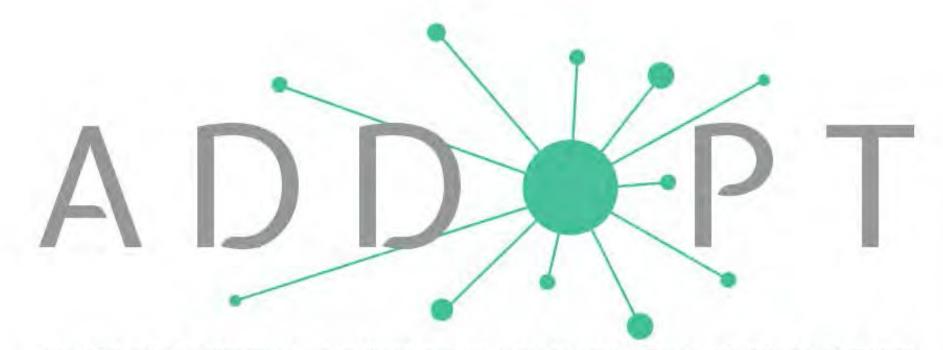
Digital Operation

Application of digital design and digital operation tools - 15 pharma led case studies



ADDoPT outcomes relevant to digital design and digital operation of continuous manufacturing processes are available as enhancements to existing tools ...





ADVANCED DIGITAL DESIGN OF PHARMACEUTICAL THERAPEUTICS

Showcase event Thursday 28 March 2019 Clayton Hotel Chiswick, London W4 5RY

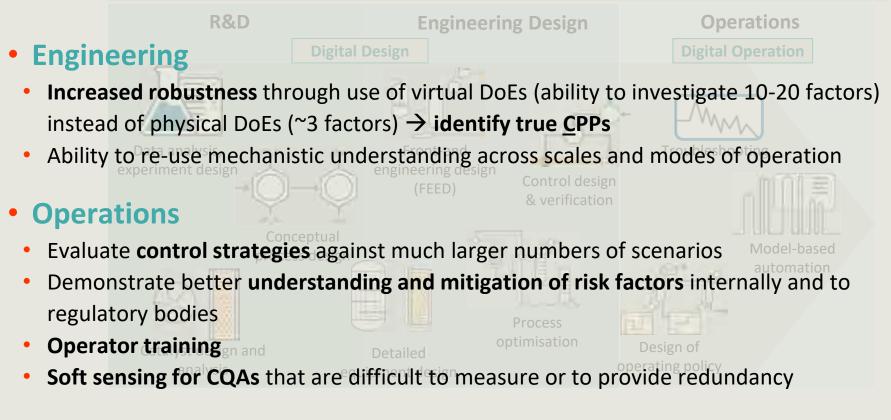
Also a cross-industry networking event for ISCF wave 3



Value derived from Application of Mechanistic Model-based Digital Twins

• R&D

• Increased efficiency by moving from purely data driven approaches to approaches involving mechanistic models ge repository across the product and process lifecycle



Overall

• Faster time to market – process development is increasingly on the critical path

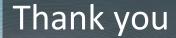
Optimal mix of predictive science and data analytics

Dependent on the stage of the development life cycle

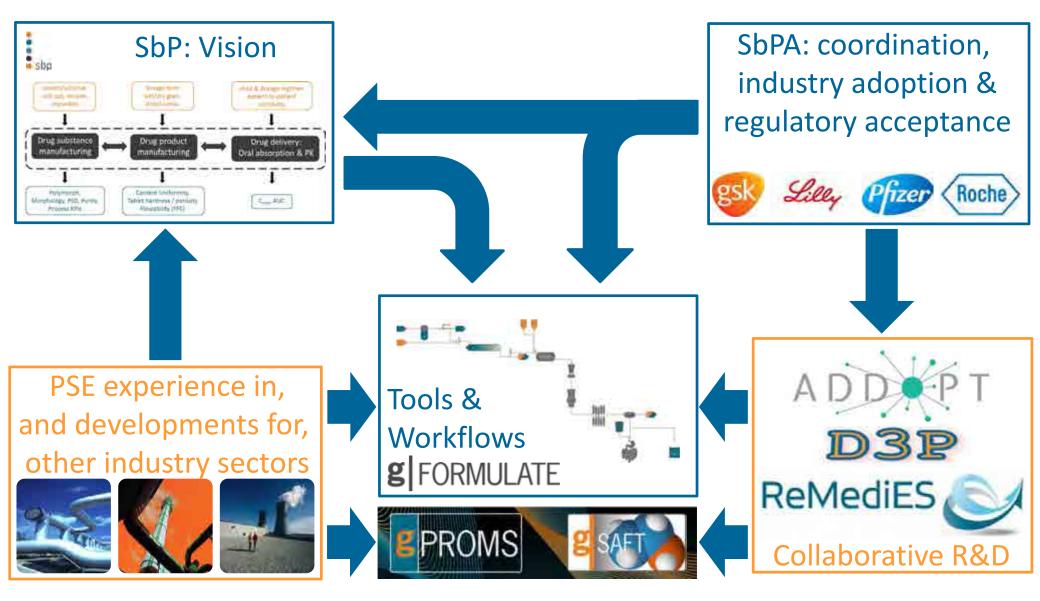
- R&D and Engineering
 - Little data available, data generation costly
 - Design and operation space still large
- Operations
 - Data generated on a continual basis
 - Design fixed, reduced operation space
- Dependent on availability of mechanistic knowledge
 - Equipment failure hard to predict based on laws of physics and chemistry
 - Variability in raw material attributes, in particular from external suppliers, simply needs to be characterised

QbD using mechanistic model-based Digital Twins: Paradigm shift for design of robust products and processes

	pre-QbD	"QbD 1.0"	"QbD 2.0"
Models used	Typically none	Statistical models (MVDA) Data driven Digital Twin	Mechanistic models - Science driven, Data calibrated Digital Twin
Aim of experimental programme	Attain improve- ments in the system	Determine combined effect of CPPs on CQAs	Estimate physics / chemistry / biology related parameters to calibrate Digital Twin
Identify robust formulation / process operation / control strategy	Not possible	Perform physical DoE with respect to 3-4 factors deemed to be Critical Formulation and Process Parameters	Using calibrated Digital Twin to perform a virtual DoE with respect to 10-20 factors → ability to identify true Critical Formulation and Process Parameters
Limitations / challenges of	enges of of changes in	Very resource intensive experimental programme	Selecting appropriate mechanistic model (model discrimination)
the approach		Limited ability to transfer knowledge to other equipment / scales	Not widely applied yet → training and culture change required Regulatory acceptance /
		Regulatory acceptance / understanding	understanding



Development of Digitalisation Tools undertaken in and supported by a wide ecosystem



Developments undertaken in and supported by a wide ecosystem Systems-based Pharmaceutics Alliance

- Pre-competitive alliance founded by Eli Lilly, Pfizer and PSE in 2013
 - Accelerating development, adoption and regulatory acceptance of SbP tools
 - Phase I completed Oct '15; Phase II kicked off Dec '15; GSK and Roche Dec '16
 - Phase III kicked off Apr' 18
- Benefits
 - close interaction between scientific liaisons from each pharma company in a pre-competitive environment, incl. two 1-week in-person meetings per year
 - accelerate and direct development of solutions (tools and workflows) with input from 30-40 SMEs from industry: requirements, feedback & network
- Deliverables

Enterprise Limited

- architecture for gPROMS FormulatedProducts to realise of SbP vision
- several new models (more later)
- extensions to capabilities for model calibration and external model validation



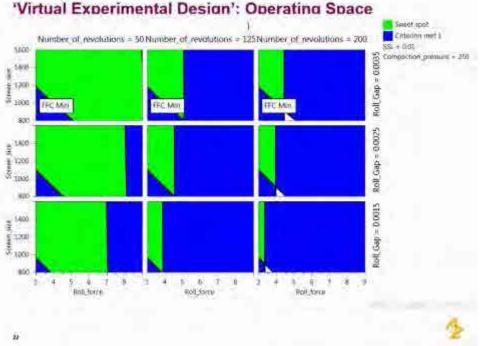


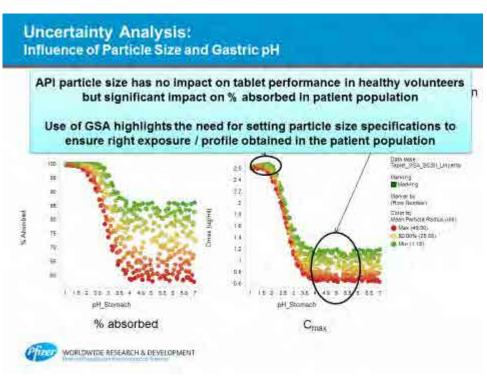




Developments undertaken in and supported by a wide ecosystem Digital Design of Drug Products (D3P; 2014-2016)

- Innovate UK project with AstraZeneca, Britest, GSK and Pfizer
- PSE funding: £444k
- Deliverables for PSE
 - Global System Analysis (part of gPROMS 5.0)
 - Industrial case studies presented at conferences and as a webinar





Developments undertaken in and supported by a wide ecosystem **ReMediES (2014-2018)**

- £22M AMSCI project (partner)
- AstraZeneca, GSK, GEA, Britest,
 PEL, U. Strathclyde, U. Cambridge (IfM) and ~20 others
- PSE funding: £400k; 1.6 FTE
- PSE a partner in App B from the start
 - Continuous drug product manufacture
 - Delivered several models within the gPROMS FormulatedProducts platform: Initial continuous filtration, feeder, blender, feed frame and HME models
 - Contributed to several case studies
- PSE a partner in App A for last year of the project
 - Continuous drug substance manufacture
 - Case study for Thomas Swan
 - CMAC Micro factory with PEL





Developments undertaken in and supported by a wide ecosystem ADDoPT (2015-2019)

- £20.4M AMSCI project (lead)
- PSE funding: £3,600k; 10 FTEs



- AstraZeneca, BMS, GSK, Pfizer, Britest, Perceptive Engineering, CCDC, STFC, U. Leeds, U. Strathclyde, Cambridge U.
- PSE deliverables
 - gPROMS FormulatedProducts, which subsumes gCRYSTAL, gSOLIDS and gCOAS, for integrated modelling of drug products and their manufacturing processes
 - A large number of new unit operation models (more later)
 - Ability to include statistical models in mechanistic model framework
 → hybrid models with better predictions and extrapolability
 - Support for 9 industrial case studies across the 4 pharma companies
 - AZ: Crystallization, Lyophilisation and Twin Screw Granulation
- Other project deliverables
 - Ability for PharmaMV to directly interface gPROMS FormulatedProducts to generate models for process monitoring and control

Developments undertaken in and supported by a wide ecosystem ADDoPT (2015-2019)

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MANUFACT

DIGITAL

Digital Operation

Digital Design

Tools developed using Mechanistic understanding Big data Select / screen / assess Molecule Solid form assessment – CSD-Materials (WP5) Solubility prediction (WP3&5) Workflows & Integrated system modelling platform (WP1) Solubility prediction – gSAFT (WP5) /P5) Stabi Particle surface visualisation Engineering / R&D Dissolution labsorption and analysis (WP4&5) liction (WP3) Lattice energ Particle Morphology prediction - VisualHabit (WP4?) Flowability prediction (WP3) Stabi /P5) optimisation & tech Process design, Drug substance manufacture unit operations (WP5) transfer Drug product manufacture unit operations (WP4) Leveraging Mechanistic models Aggregating data from a mixture of sources for Design & Operation. (WP6) to develop, design, and operate processes. (WP6) Process monitoring Operations & control

Developments undertaken in and supported by a wide ecosystem Several bi-lateral collaborations, e.g. P&G (gSOLIDS 1.0), Pfizer (gCOAS 1.0), Lilly (distillation column), AstraZeneca (RC and CDC) & UCB (segmented FBD)



Developments undertaken in and supported by a wide ecosystem Other collaborations

- Centre of Excellence for Pharma with RCPE
 - FBG & HME
- CMAC Continuous manufacture for pharma
 - Tier 2 member representative for CMAC board (2016-2018)
- CPI National Formulation Centre
 - Particle modelling project further development of TSG model from ADDoPT
- Rutgers University C-SOPS & FDA continuous manufacture
- University Massachusetts Lowell FDA continuous manufacture
- **T-MAPPP DEM interfacing U. Edinburgh**
- U. Sheffield granulation

Roadmap for further development of PSE's Digitalisation Tools

Future development of digitalisation tools Small molecule

- R&D and Engineering
 - Increasingly focussed on workflows \rightarrow training and documentation
- Operations
 - Work with lead customers to demonstrate feasibility and added value of mechanistic model-based process control and monitoring solutions

Accelerating deployment in commercial manufacture of Digital Twins capturing knowledge evolved during R&D and Engineering

PSE and Siemens sign collaboration agreement

Combining deep process knowledge with digitalisation



June 2018A preferred partnership

- Create model libraries, initial focus on mAb and advanced therapeutics (e.g. CAR-T cells)
- Platform functionality is largely application agnostics, i.e. ability to perform parameter estimation, external model validation, optimisation, virtual DoEs and to link to online systems are all directly applicable

Future development of digitalisation tools General

- Hybrid approaches combining predictive science and data analytics
- Model discrimination to enable generation of better Digital Twins more efficiently
- Create awareness in industry and regulatory bodies that virtual DoEs allow a more comprehensive assessment of robustness, how best to mitigate associated risks and how this links to enhanced safety and efficacy for the patient

Motivation for virtual DoEs

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Hydrogen Peroxide route to Propylene Oxide (HPPO)

The process

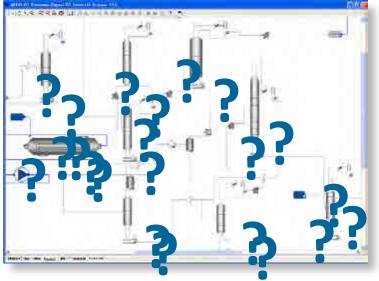
- Traditionally PO co-produced with styrene monomer (SM)
 - complex process
 - large capital investment
 - economics dependent on SM market
- New Repsol HPPO process
 - addresses all of above
 - minimal by-products
 - <u>own</u> process

HPPO:

Hydrogen Peroxide route to Propylene Oxide CH3CH=CH2 + H2O2 \rightarrow CH3-CH-CH2 + H2O

The challenge

 Process 'already optimised' using traditional simulation software, but process economics looking poor



- Many decisions and trade-offs
 - complex multitubular reactor
 - complex separation system (> 10 columns)

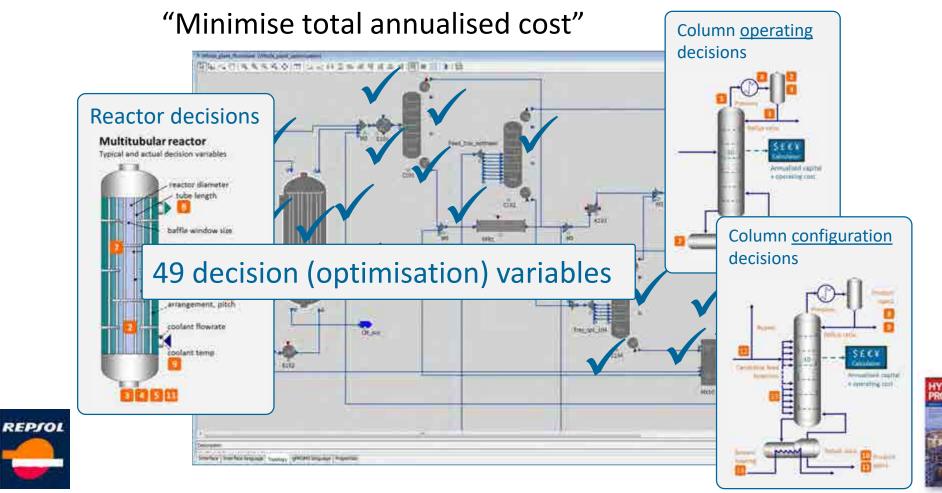
How can we improve whole plant process economics?





Approach

- Construct and validate model of proposed process design
- Nominate process design variables, constraints, objective



Results

The result

- Large savings in operating and capital
 cost with respect to 'optimal' base case
- Two columns <u>eliminated entirely</u>
- Heat integration yielded significant operating cost savings with attractive ROI (payback < 4 months)

Improvement in process economics: "10s of millions of Euros"

- Process is **feasible** (all constraints met)
- Rigorous and validated models used for design – contain valuable knowledge for detailed engineering, and operation.

