

**Direction Measurement of  
the Mechanical Strength of  
Single Microcapsules by  
Micromanipulation**

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# Why do we need to characterize capsules mechanical strength?

- To prevent the damage to capsules in processing equipment (e.g. stirred vessel, pump, extruder)
- To maintain their long-term mechanical stability
- To realise triggered release of active ingredients from capsules by mechanical forces

# Techniques for Characterizing

## Capsule Population

- Compression between two plates
- Osmotic pressure (only for semi-permeable microcapsules)
- “Shear” device (e.g. sparging, agitation and shaking)

## Single Capsules

- Micropipette aspiration (only for very soft solids)
- **Micromanipulation based on diametrical compression**
- Atomic force microscopy (AFM)

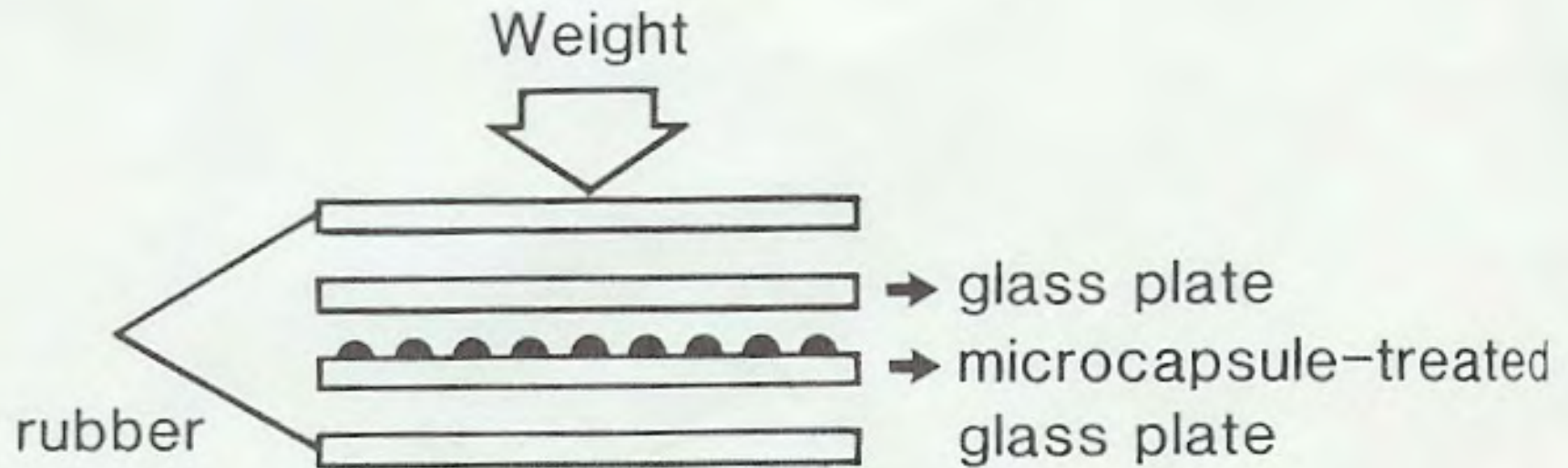


Figure 1 Method for the breaking test

**Compression of a population of microcapsules between two plates.**

**Ohtsubo et al. (1991) , *Polymer*, 32: 2395-2399.**

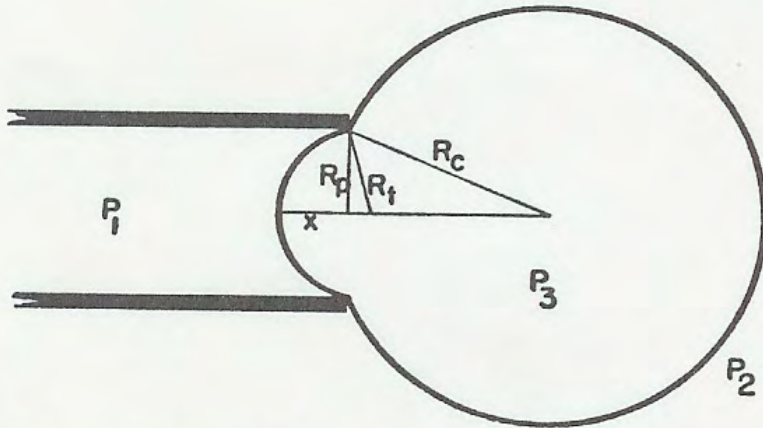
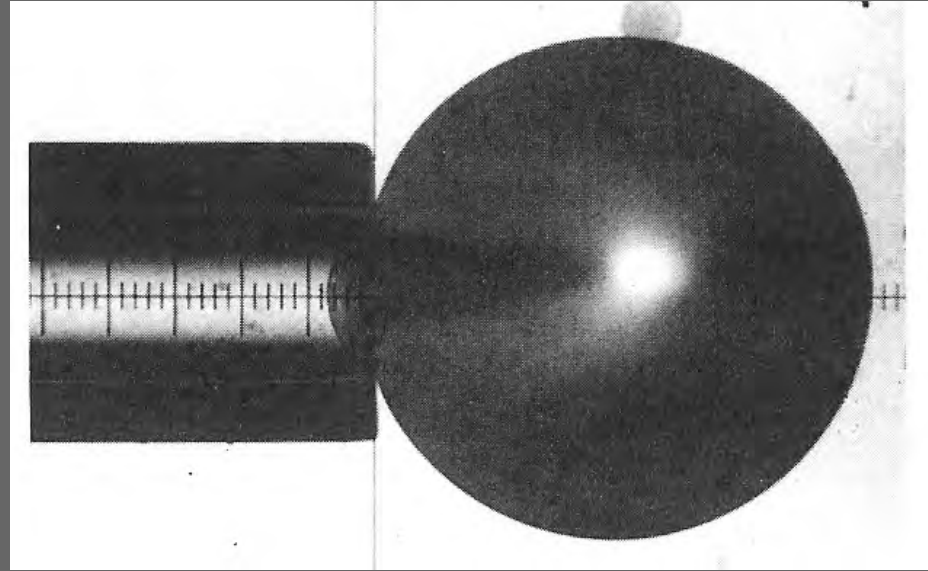


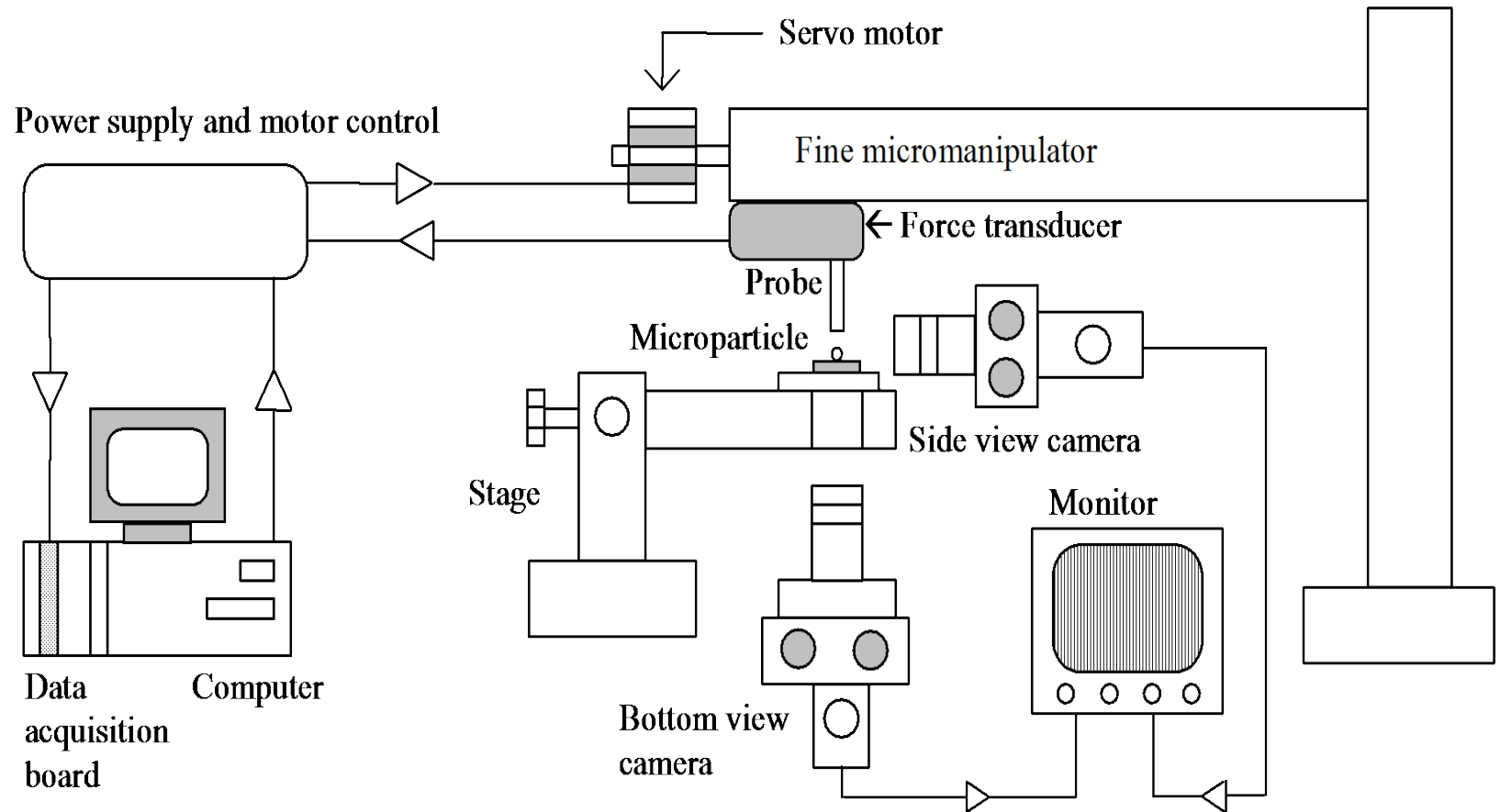
FIG. 1. Schematic of capsule showing parameters involved.



$$P_2 - P_1 = 2T \left( \frac{2x}{x^2 + R_p^2} - \frac{1}{R_c} \right)$$

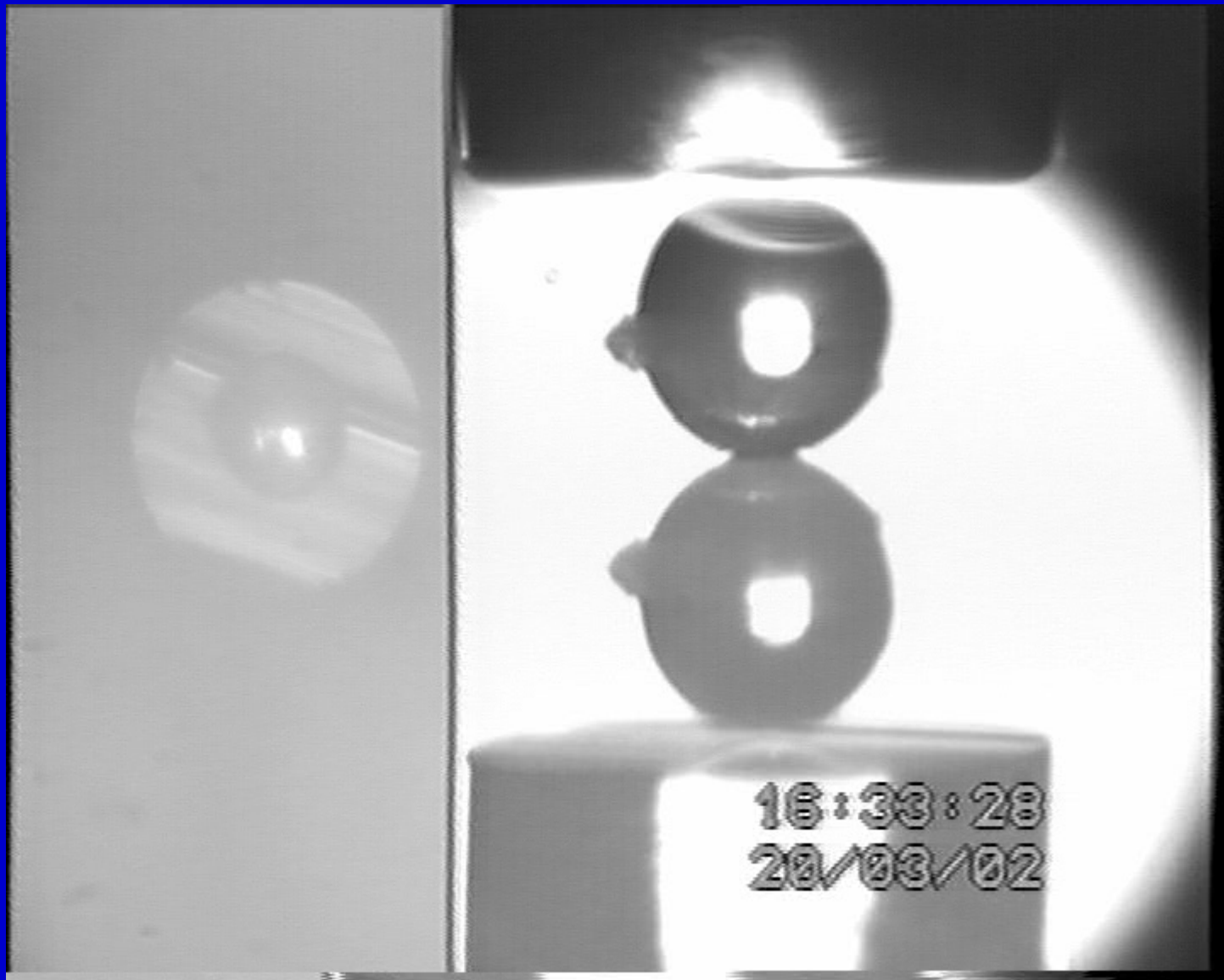
**Pipette aspiration of single microcapsule.**

**Jay and Edwards (1968), *Can. J. Physiol. Pharm.* 46: 731-737.**



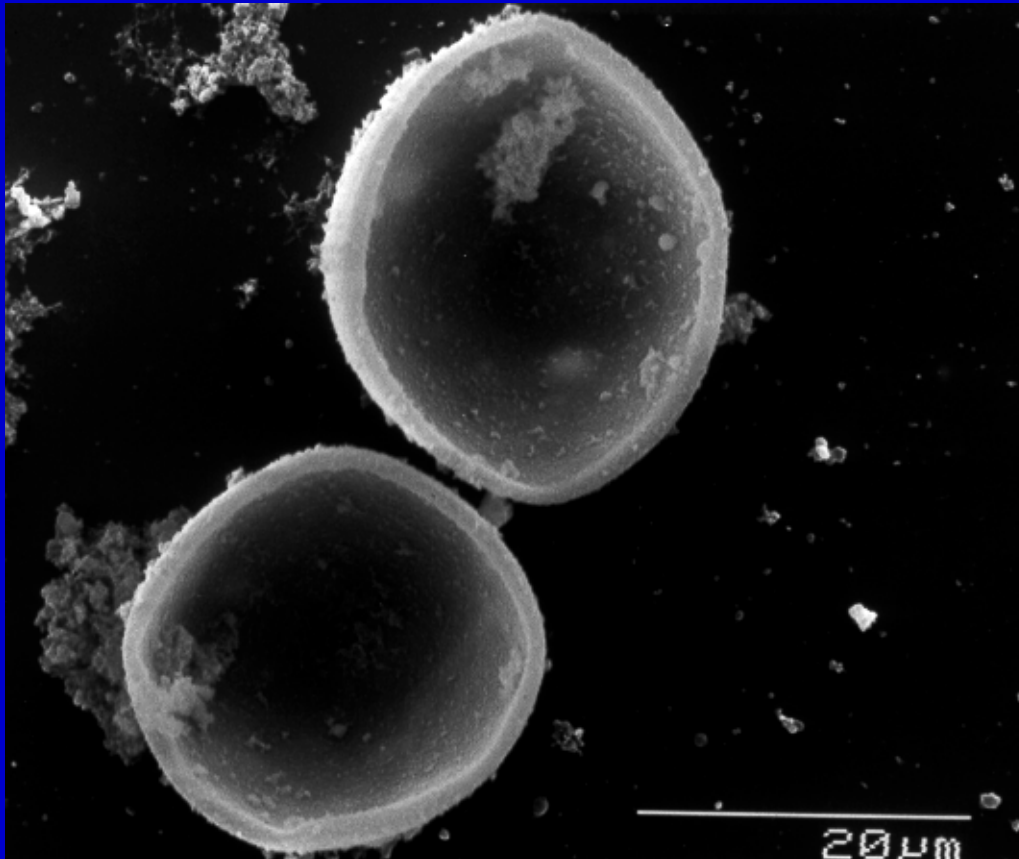
**Schematic diagram of the micromanipulation rig**

**Sun and Zhang (2001), *J Microencapsulation* 18: 593-602**

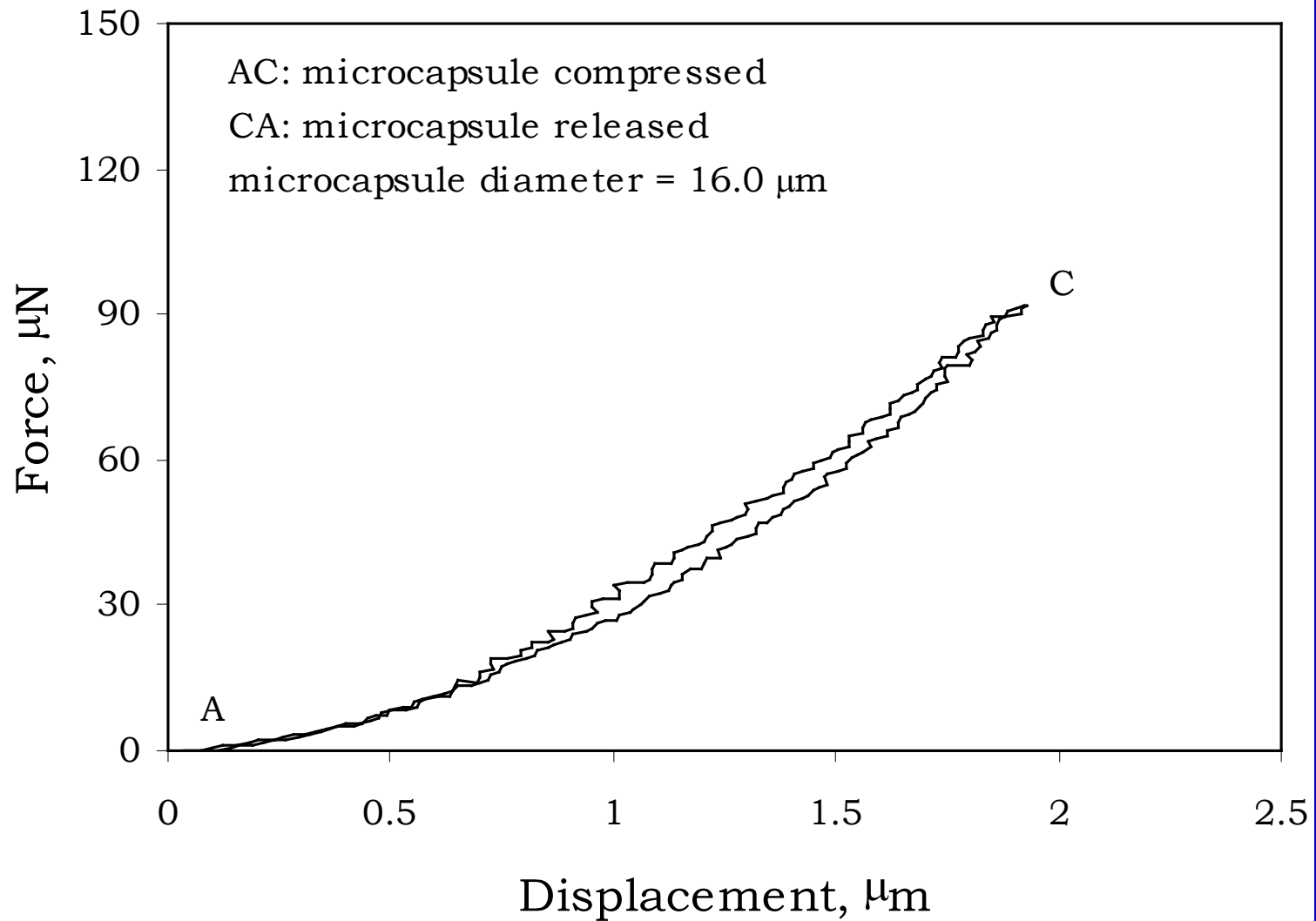


Single MF microcapsule ( $20\mu\text{m}$ ) held between a force probe and slide.

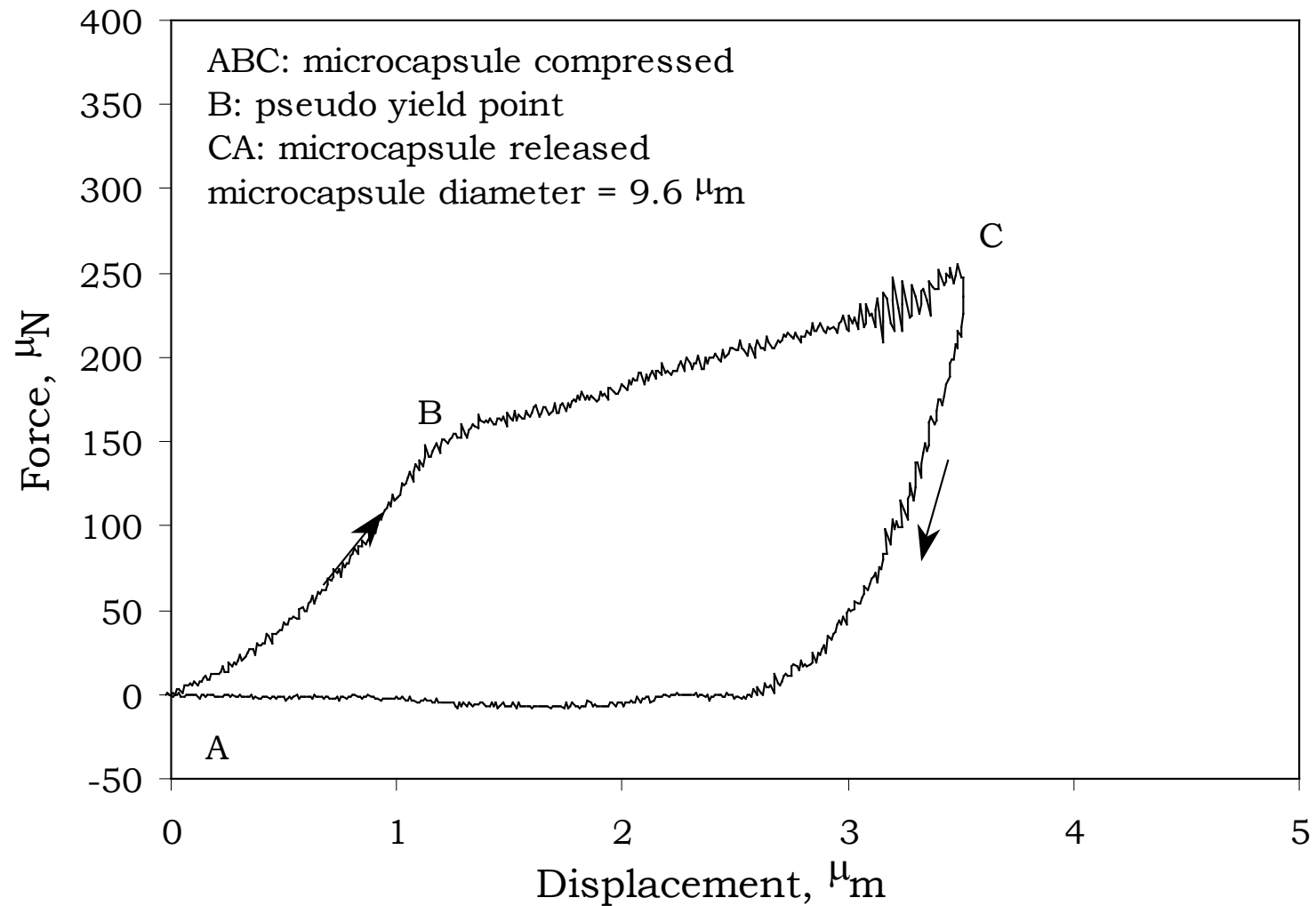
# Ruptured melamine-formaldehyde (MF) microcapsules with a core of oil released



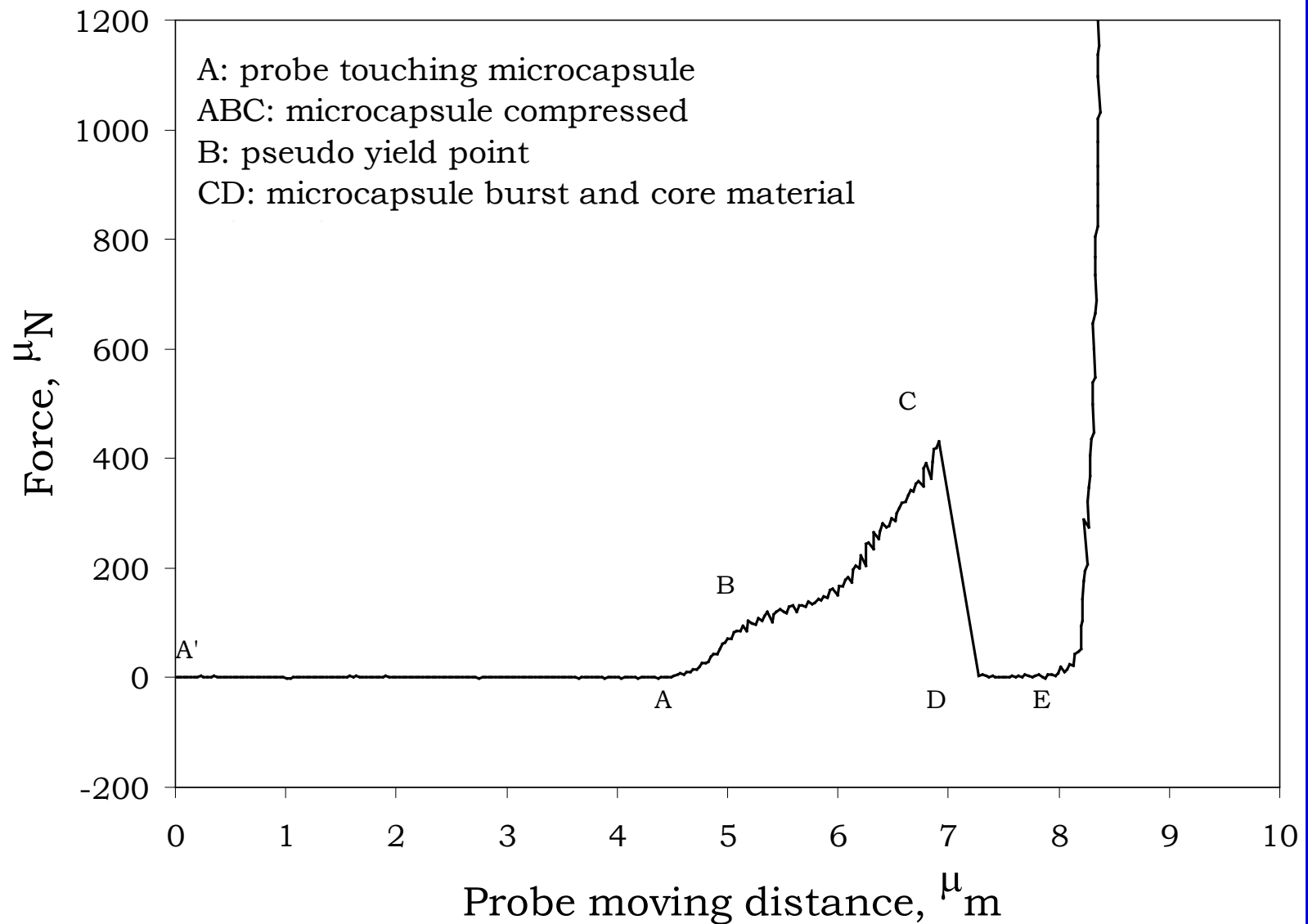




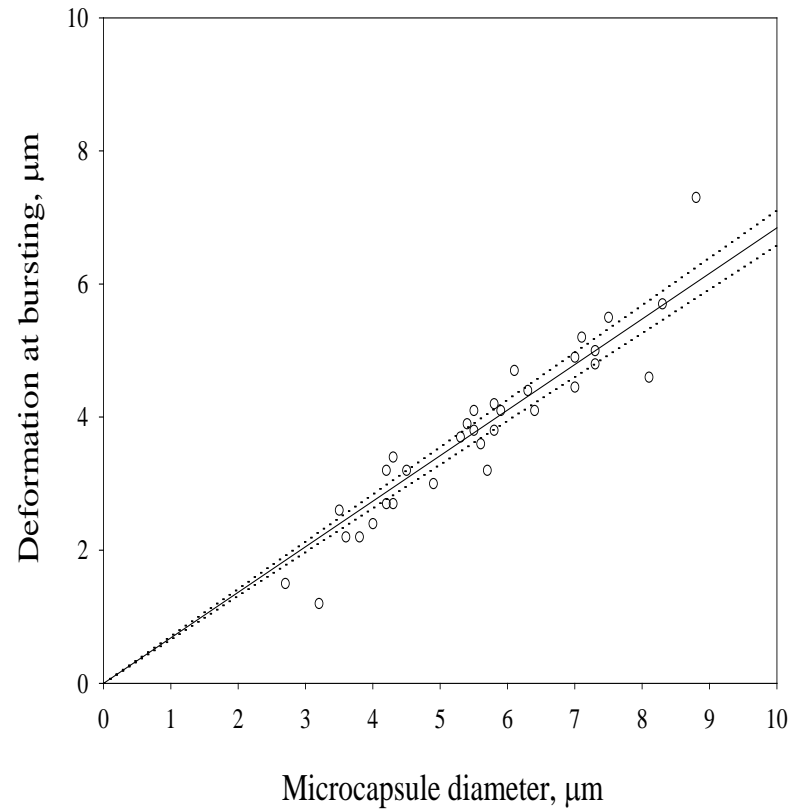
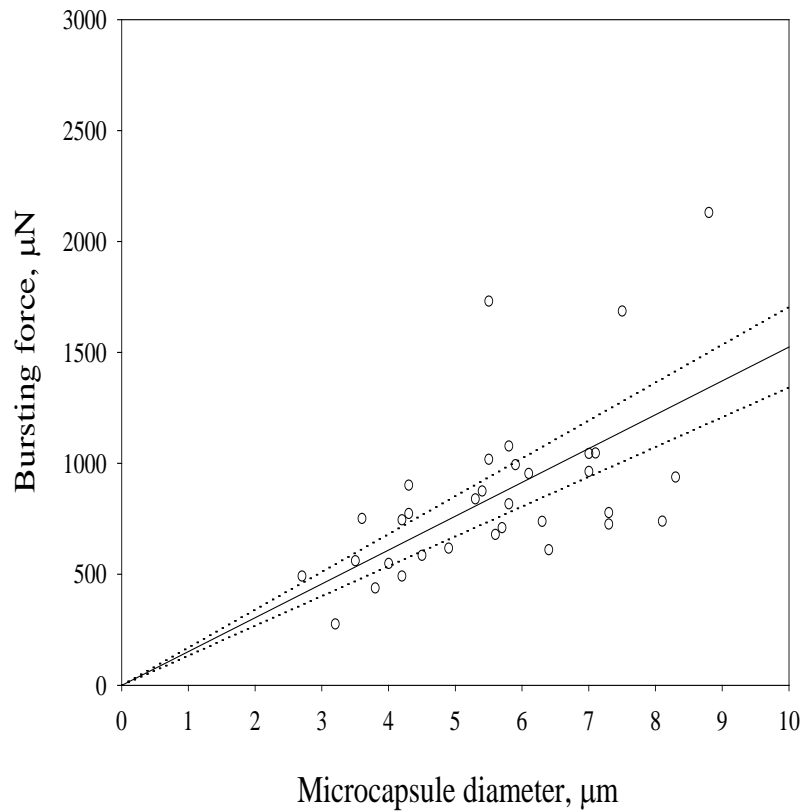
Force versus displacement curve for compression of a MF microcapsule to a small deformation and then release.



Force versus displacement curve for compression of a MF microcapsule to a large deformation and then release.



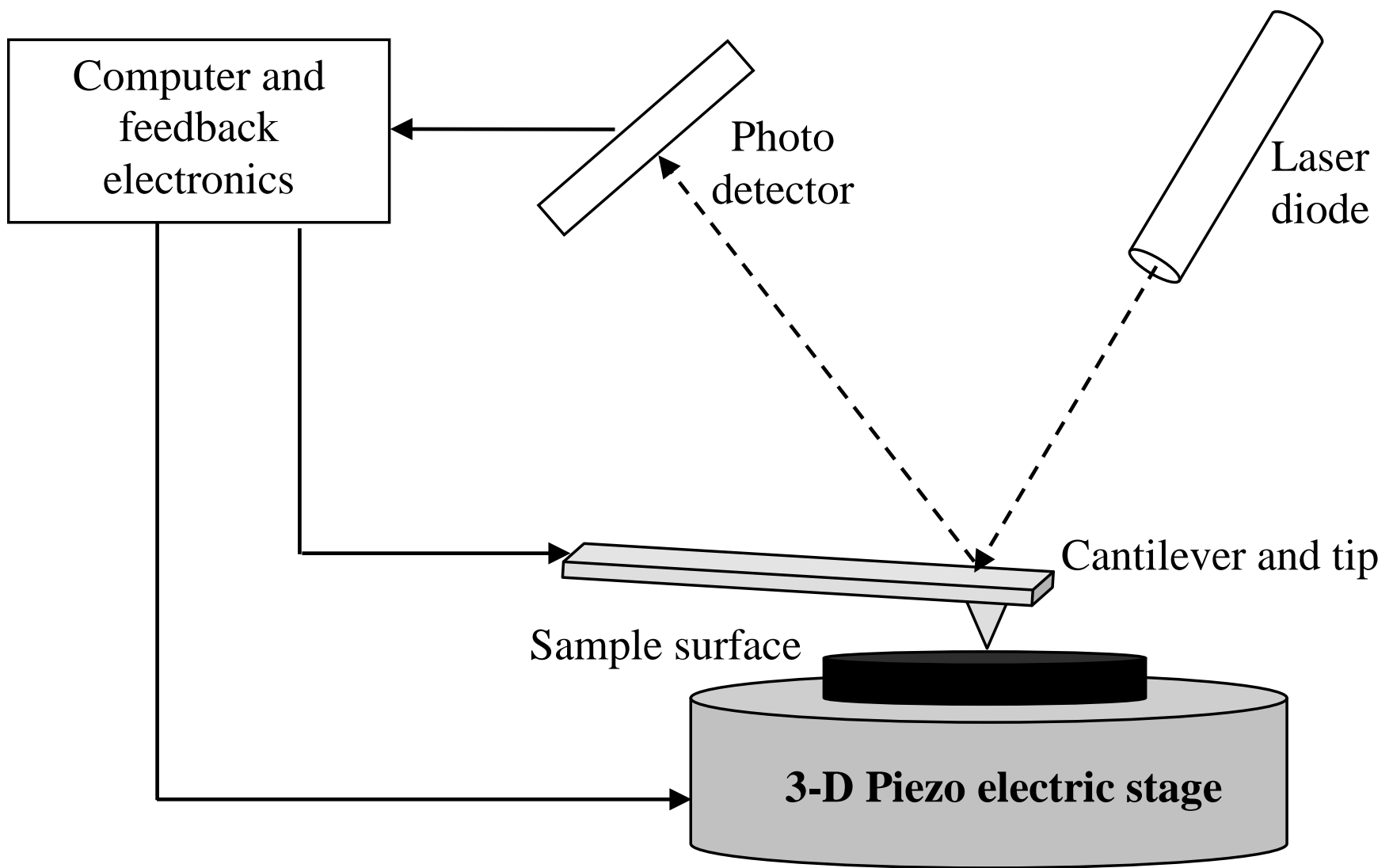
Force versus displacement curve for compression of a MF microcapsule to bursting.



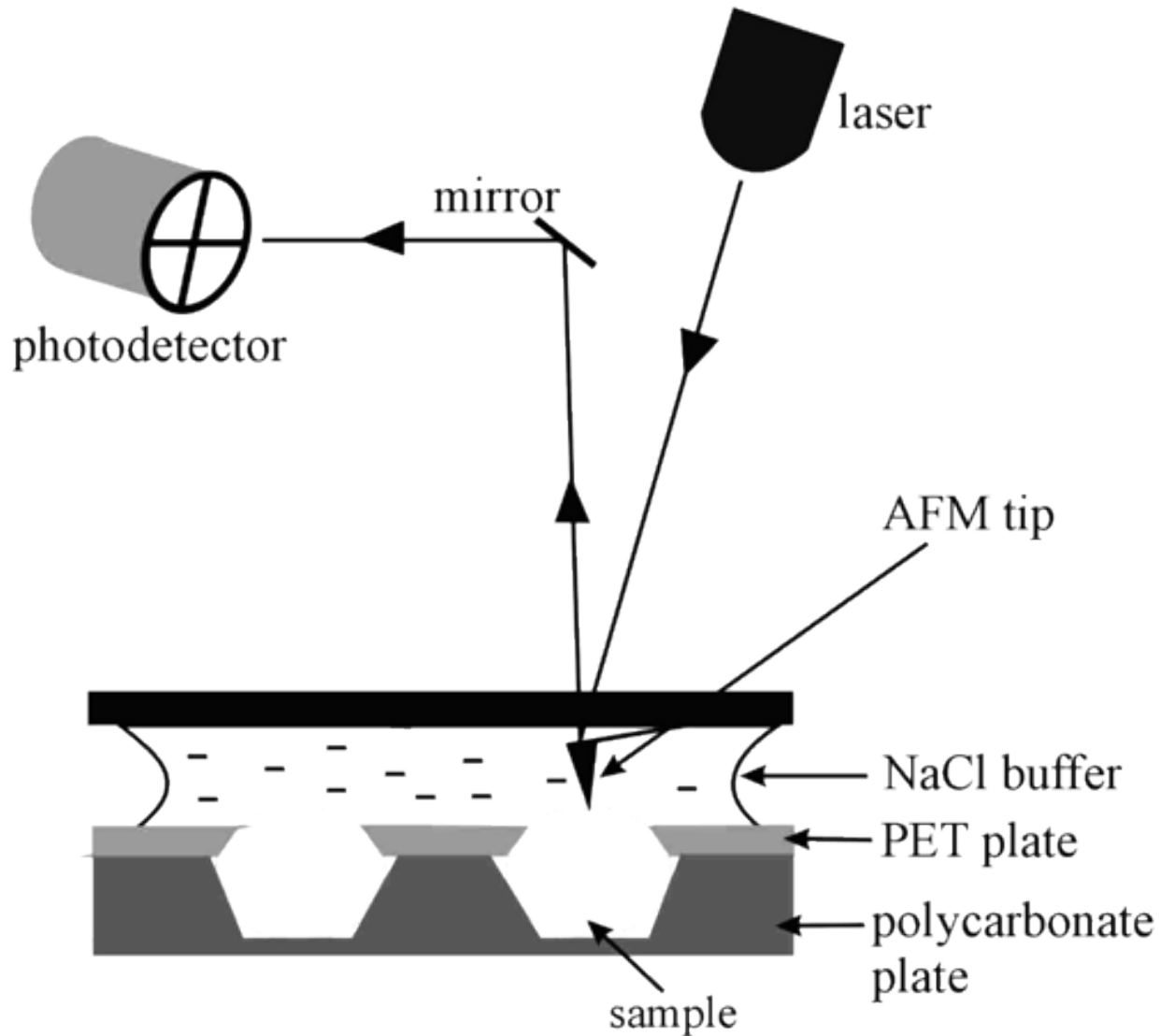
Bursting force and deformation at bursting vs. diameter for melamine-formaldehyde microcapsules

Table 1.  $K$  values including 95% confidence intervals under different compression speeds.

Compression speeds, $\mu\text{m/s}$	Number of samples	$K$ for displacement at yield point, $\mu\text{m}/\mu\text{m}$	$K$ for displacement at bursting, $\mu\text{m}/\mu\text{m}$	$K$ for bursting force, $\mu\text{N}/\mu\text{m}$
0.5	34	$0.17 \pm 0.01$	$0.68 \pm 0.02$	$142 \pm 8$
1.0	33	$0.19 \pm 0.01$	$0.68 \pm 0.02$	$152 \pm 18$
3.0	58	$0.19 \pm 0.01$	$0.70 \pm 0.02$	$146 \pm 14$
6.0	57	$0.19 \pm 0.01$	$0.71 \pm 0.02$	$149 \pm 8$
Average		$0.19 \pm 0.01$	$0.70 \pm 0.01$	$148 \pm 6$



**Schematic of an AFM set up.**



**Indentation of a microcapsule with an AFM probe**  
**Lekka et al. (2004), *Langmuir* 20: 9968-9977.**

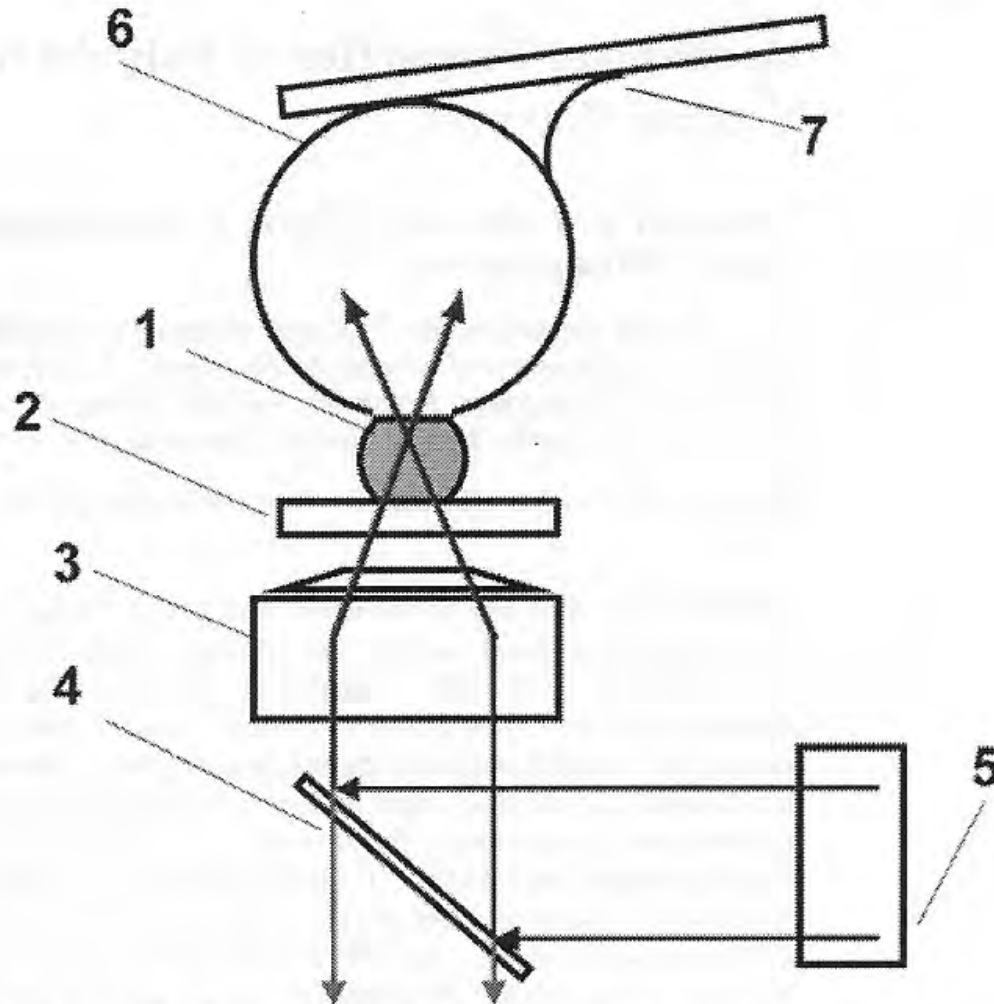
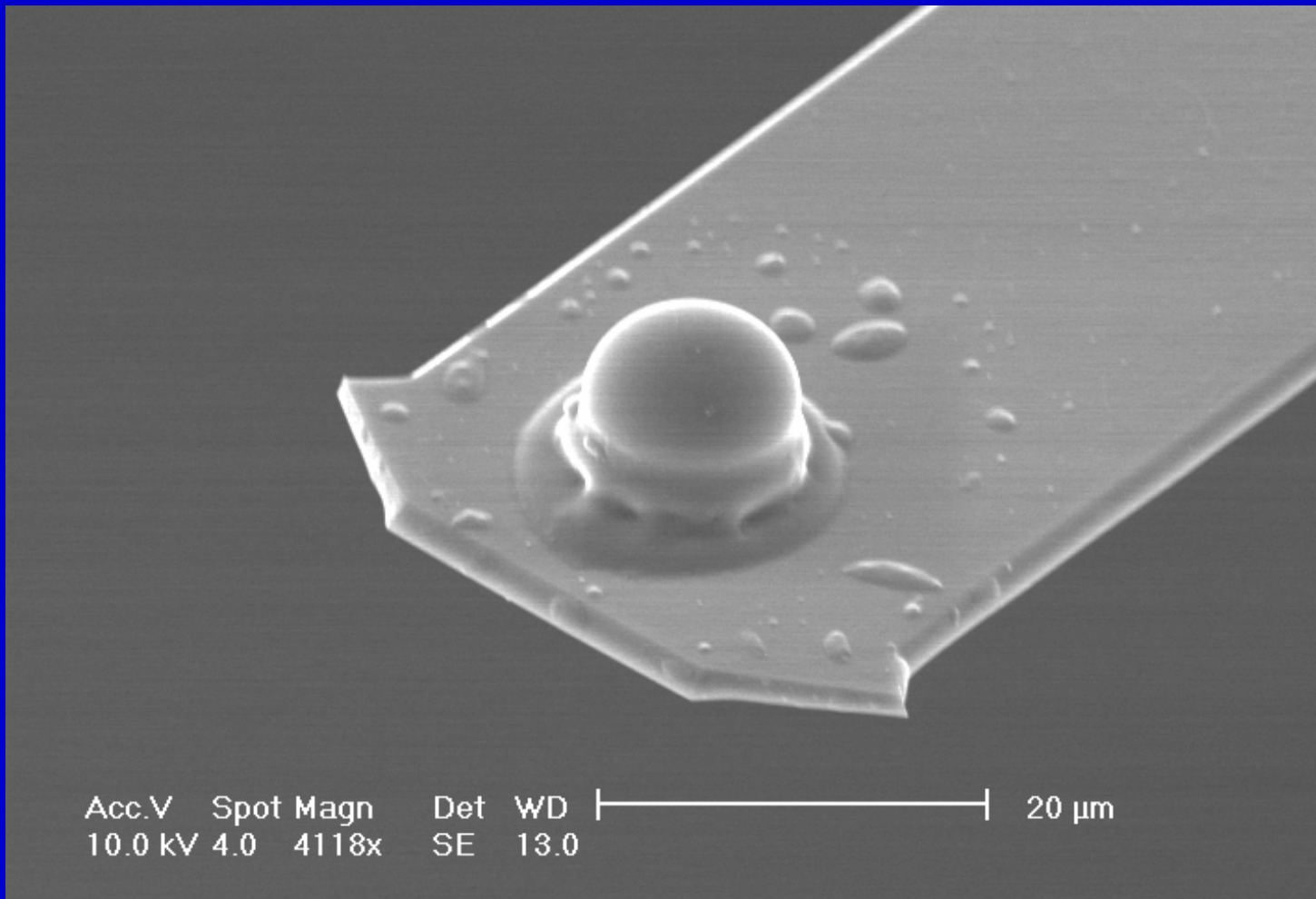


Figure 2. Schematic of the AFM force experiment.

Lulevich et al. (2003), *Macromolecules* 36: 2832-2837.





**SEM image showing a microcapsule (11.9 μm) was attached to a tipless cantilever**

**Liu et al. (2013), *J. Adhesion Sci. Technol.* 27: 973-987.**



Scent



Laundry Product



Freshness Experience

<http://www.scienceinthebox.com/laundry-perfumes-provide-fresh-scents>

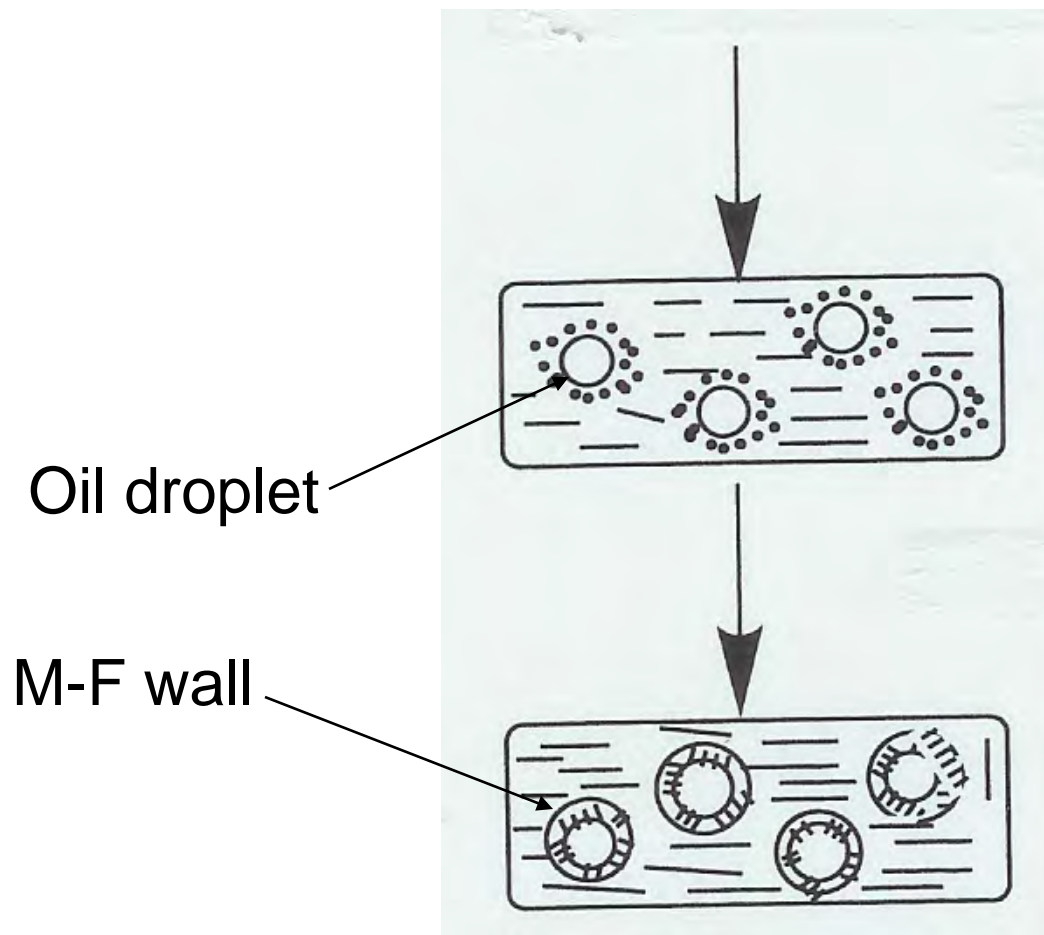
# Perfume Microcapsules

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- The microcapsules may be incorporated into various functional products, e.g. laundry detergents.
- They should have desirable size, structure, surface property and mechanical strength.
- The perfume may be released by rupturing the microcapsules.

## Mechanical characterization of microcapsules

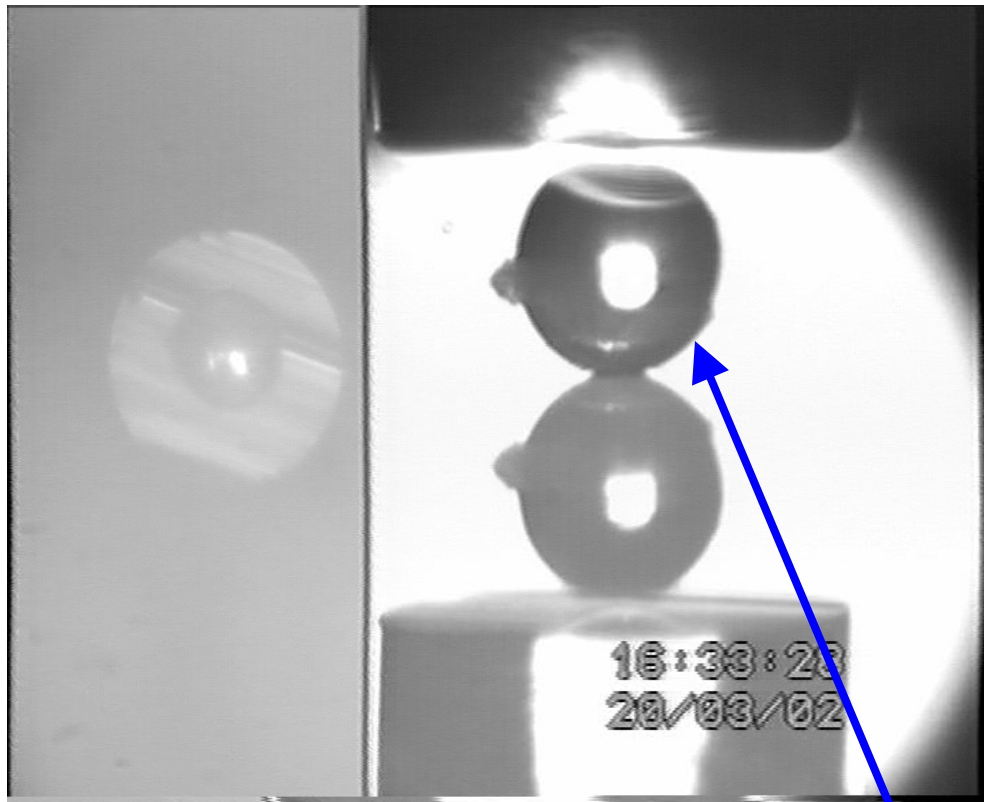
- To prevent the damage to microcapsules in processing equipment (e.g. stirred vessel, pump, extruder, mixer).
- To control their quality and maintain long-term mechanical stability.
- To realise triggered release of active ingredients by mechanical forces.



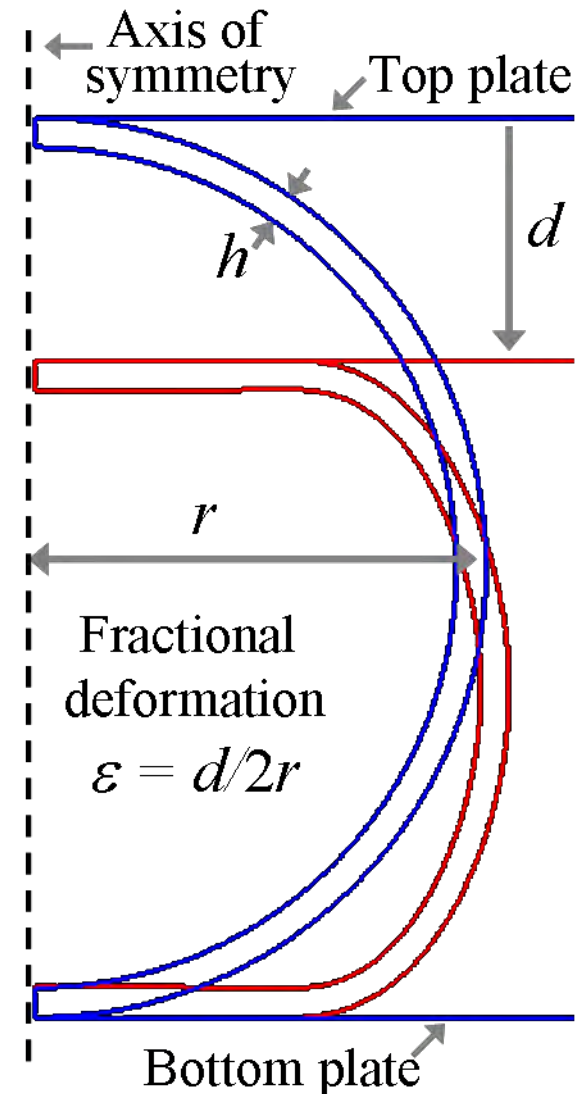
Formation of a melamine formaldehyde (M-F) wall on the surfaces of oil droplets.

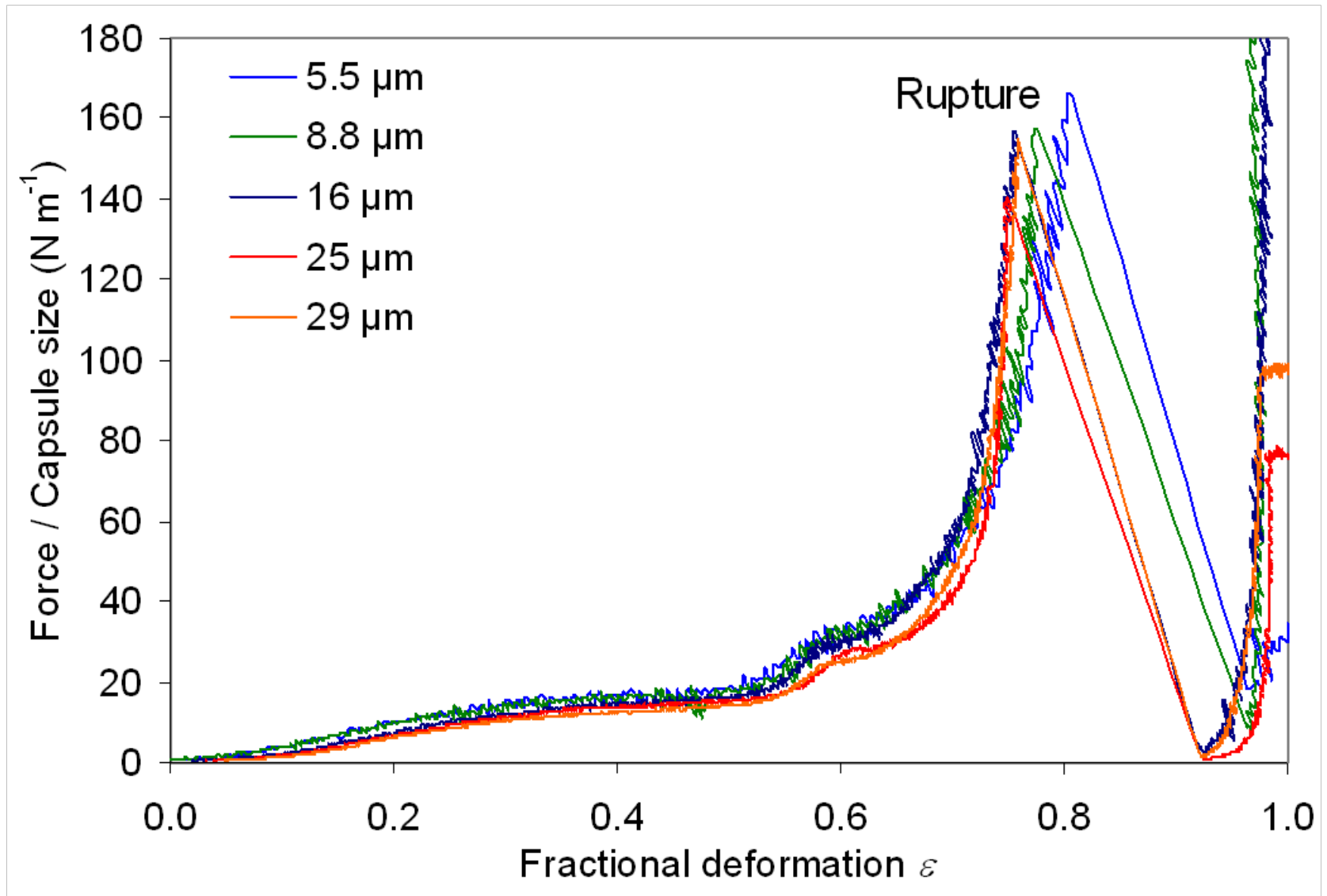
**Sun and Zhang (2001), *J. Microencapsulation* 18: 593-602.**

Micromanipulation to measure the rupture force of single microcapsules and finite element modelling (FEM) to determine their intrinsic mechanical property parameters

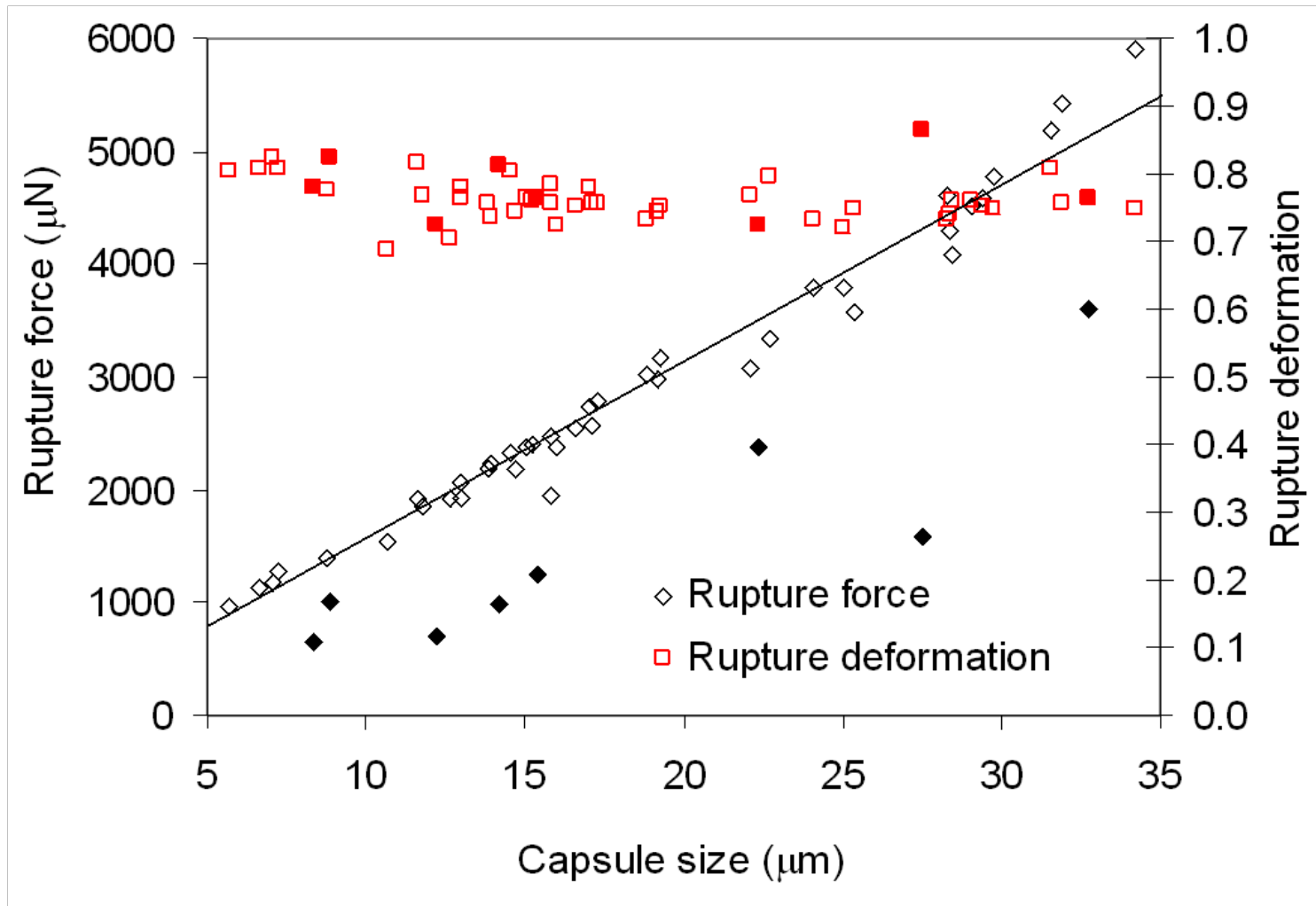


20  $\mu\text{m}$





Force normalized with the capsule size (diameter) versus fractional deformation for capsules of different sizes



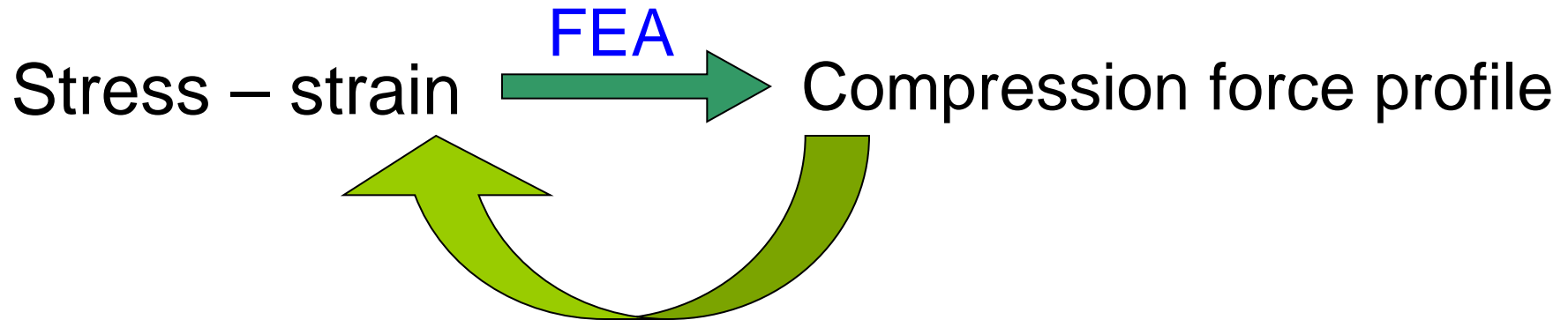
Rupture force (diamonds) and fractional deformation at rupture (squares) of microcapsules of different sizes

# Finite Element Modelling

- Micromanipulation force data provides information that depends on the geometry
- How to obtain intrinsic mechanical property parameters?

**STRESS – STRAIN** relationship

Modelling { Theoretical (simple cases – Hookean)  
Numerical (**FEA** with ABAQUS®)

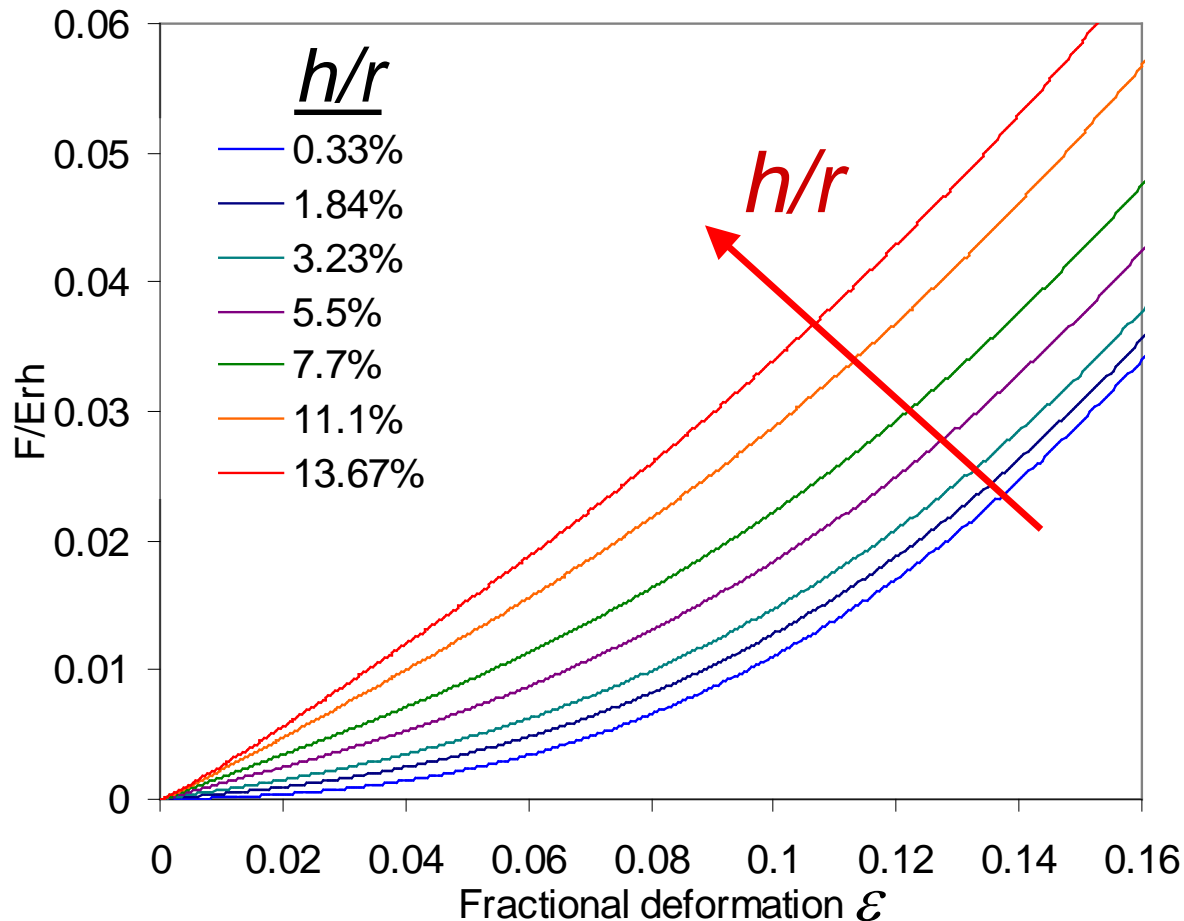




# FEM – Elastic shell

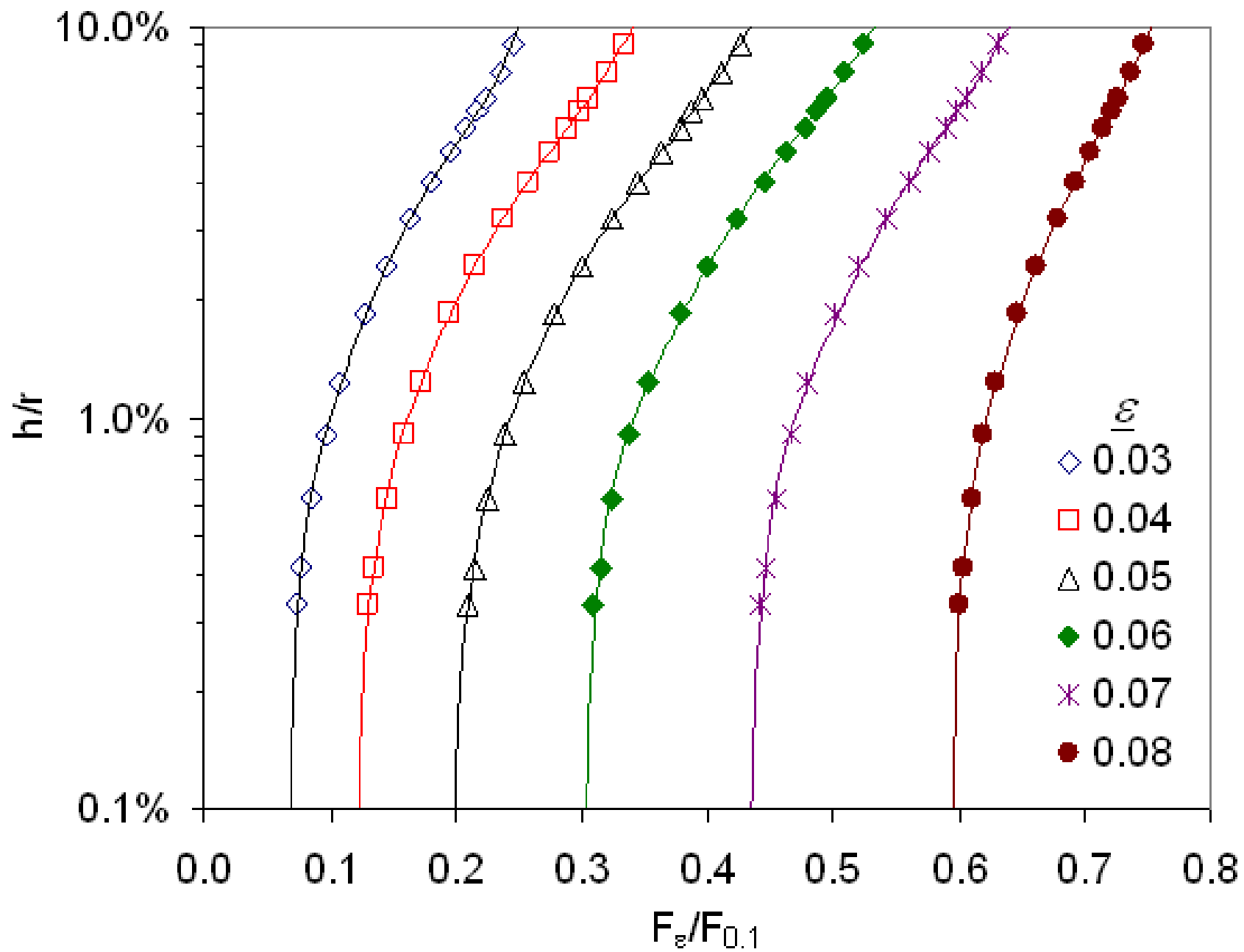
## Determination of the Elastic Modulus ( $E$ ):

- MF microcapsules are known to be elastic at small fractional deformations  $\varepsilon < 0.15$



- The force profile depends on  $h/r$  at small fractional deformations
- We can estimate  $h/r$  using the shape of the force profile

Mercade-Prieto et al. (2011), *Chem. Eng. Sci.* 66: 2042-2049.



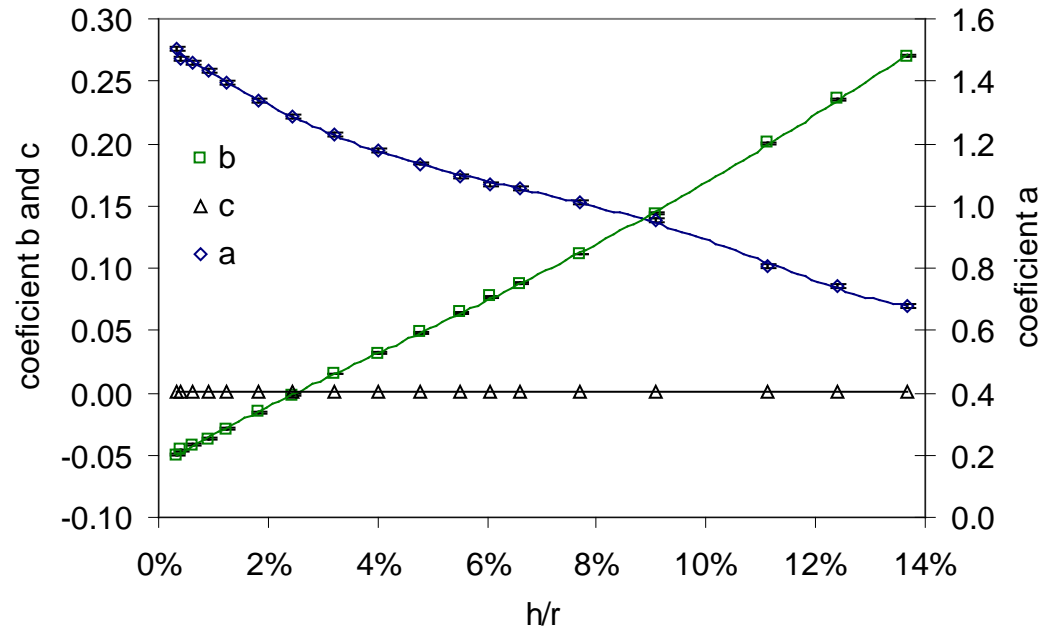
# FEM – Elastic shell – Estimate $Eh$

- Once  $h$  is known we can estimate  $Eh$
- Compare experimental force curve with FEM results at the appropriate  $h/r$

FEA results:

$$\frac{F}{Erh} = a\varepsilon^2 + b\varepsilon + c$$

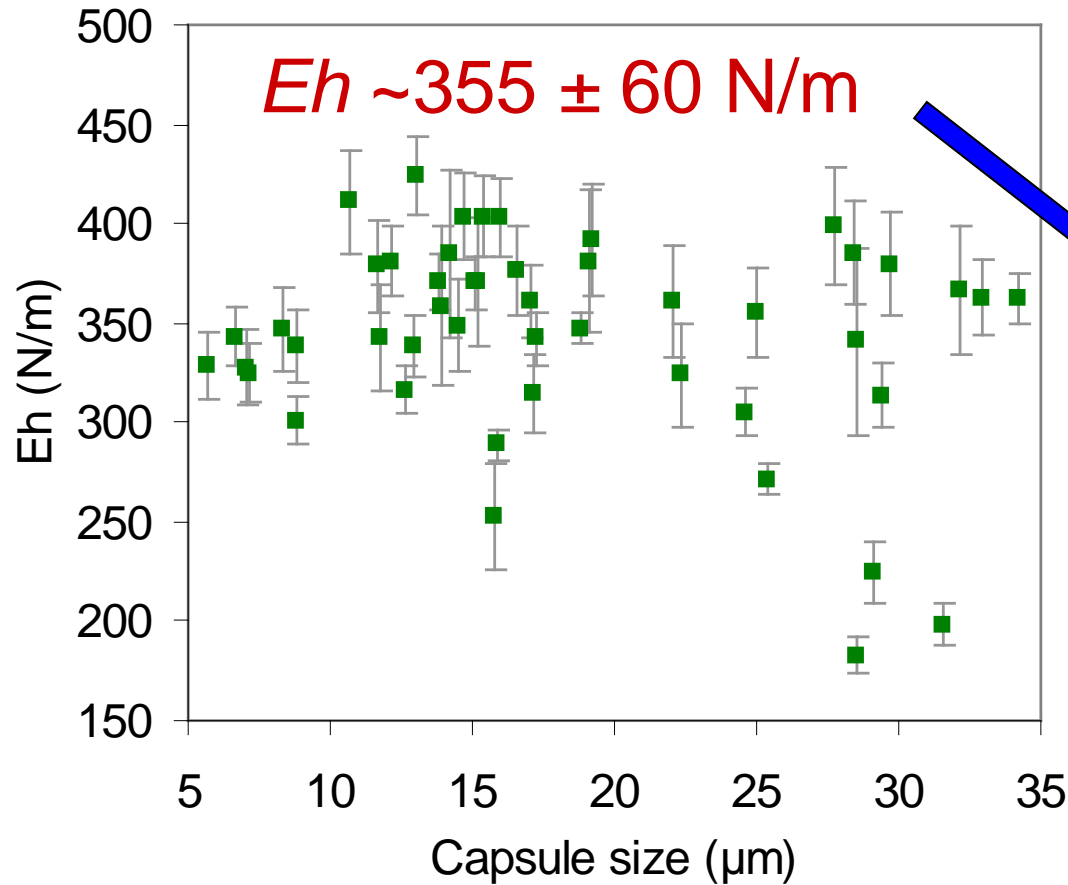
$$0.03 < \varepsilon < 0.1$$



The experimental  $Eh$  is calculated at different fractional deformations

$$Eh_{\varepsilon} = \frac{F_{\varepsilon}/r}{a\varepsilon^2 + b\varepsilon + c}$$

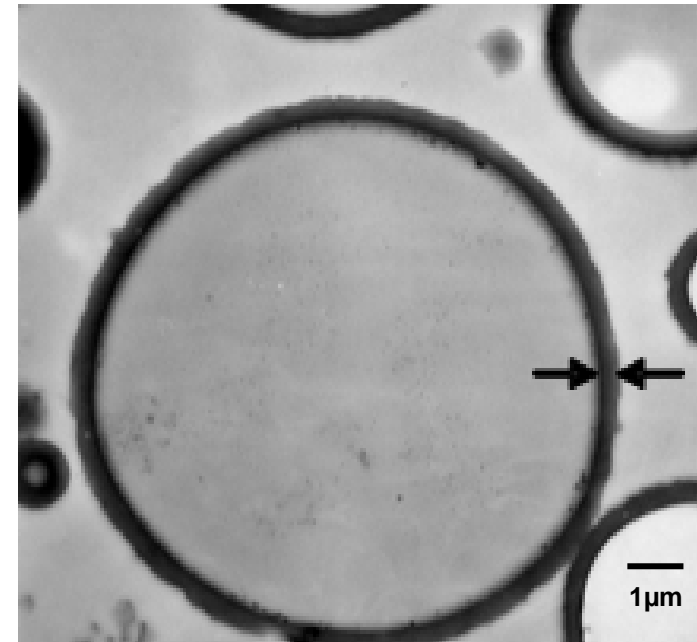
# MF capsules – Elastic shell – Estimate $Eh$



$h \sim 0.2 \mu\text{m}$

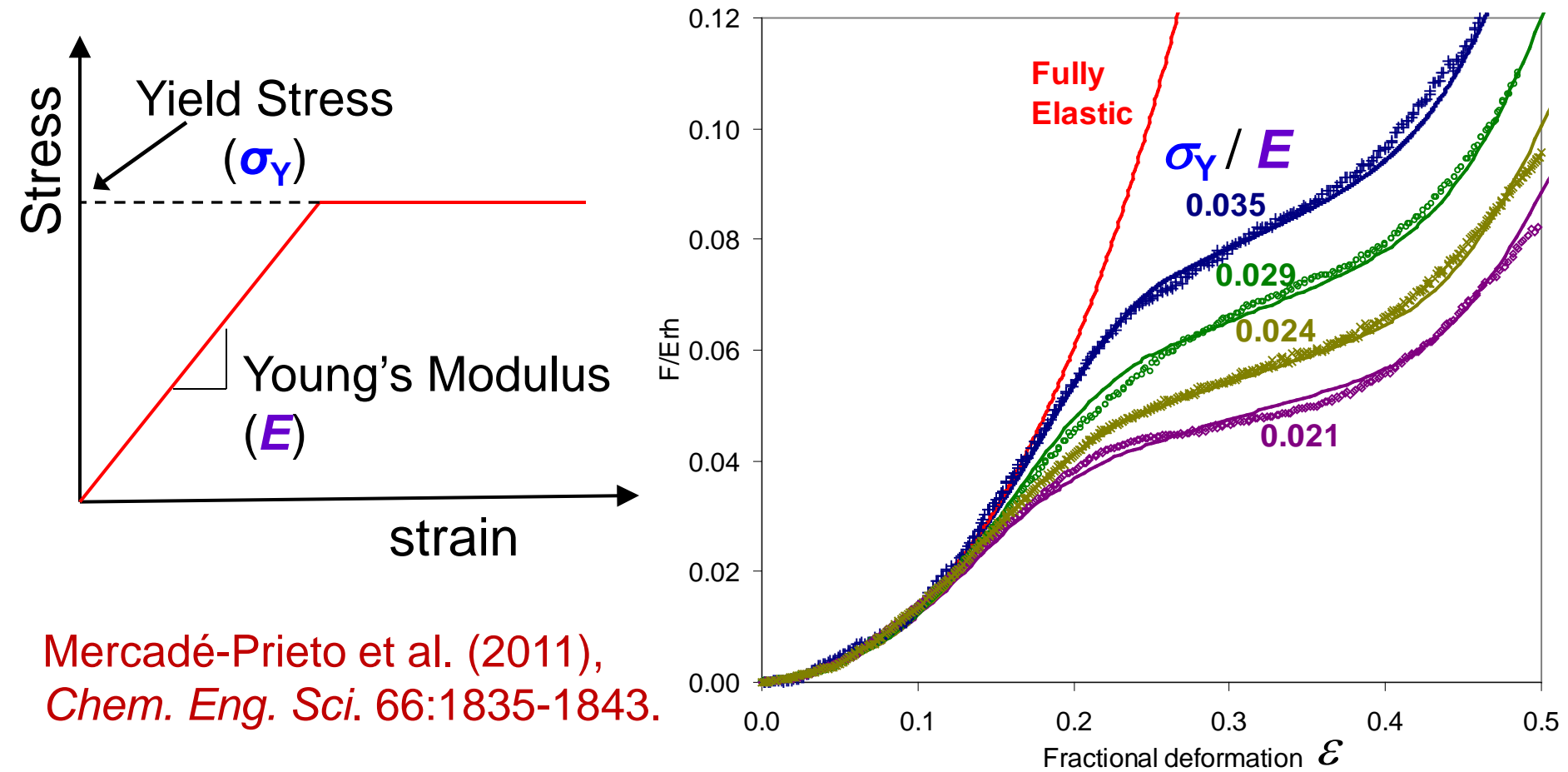
$E \sim 1.8 \pm 0.3$  GPa

$Eh$  is independent of the capsule size.

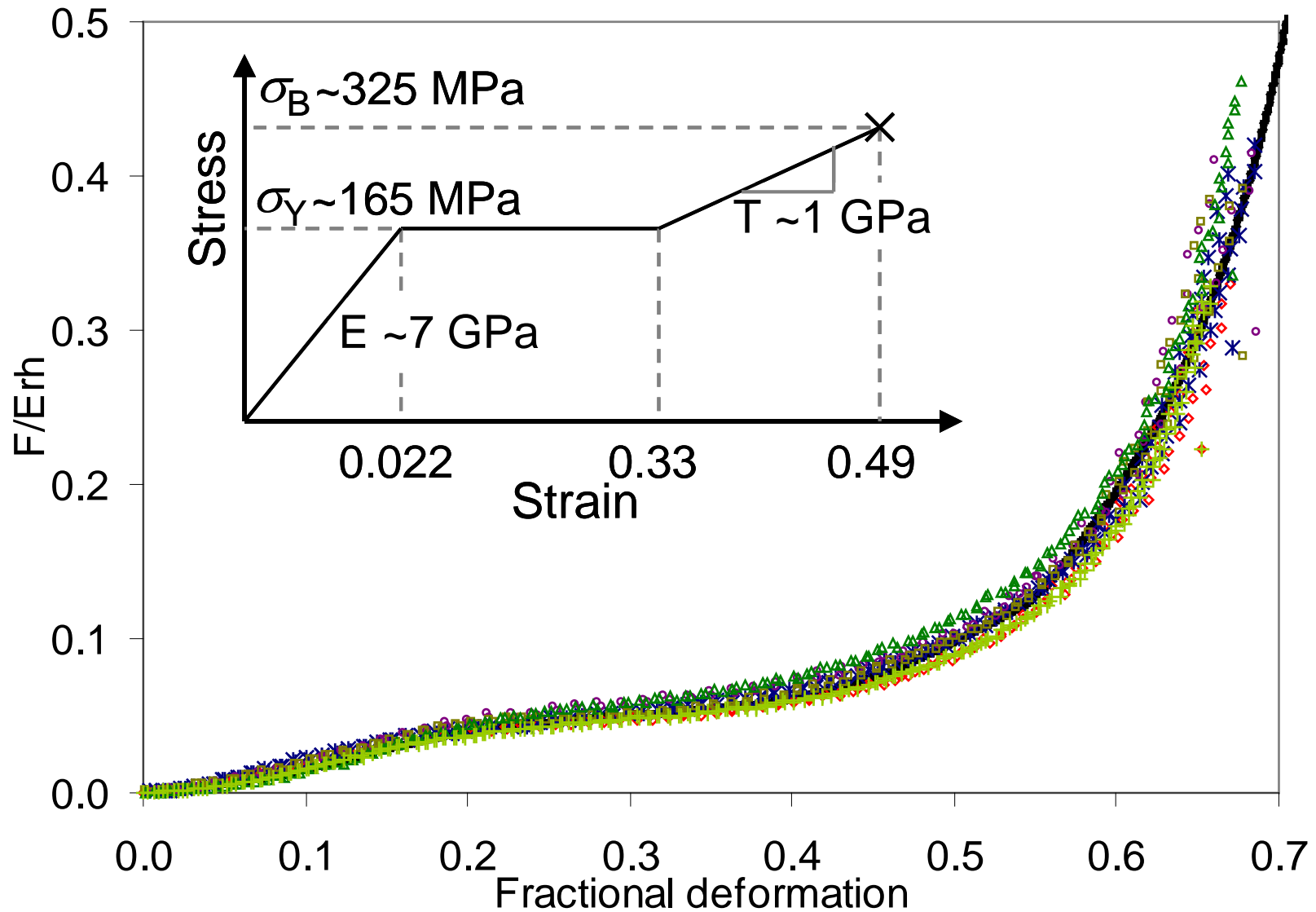


# MF capsules – Elastic perfectly-plastic shell

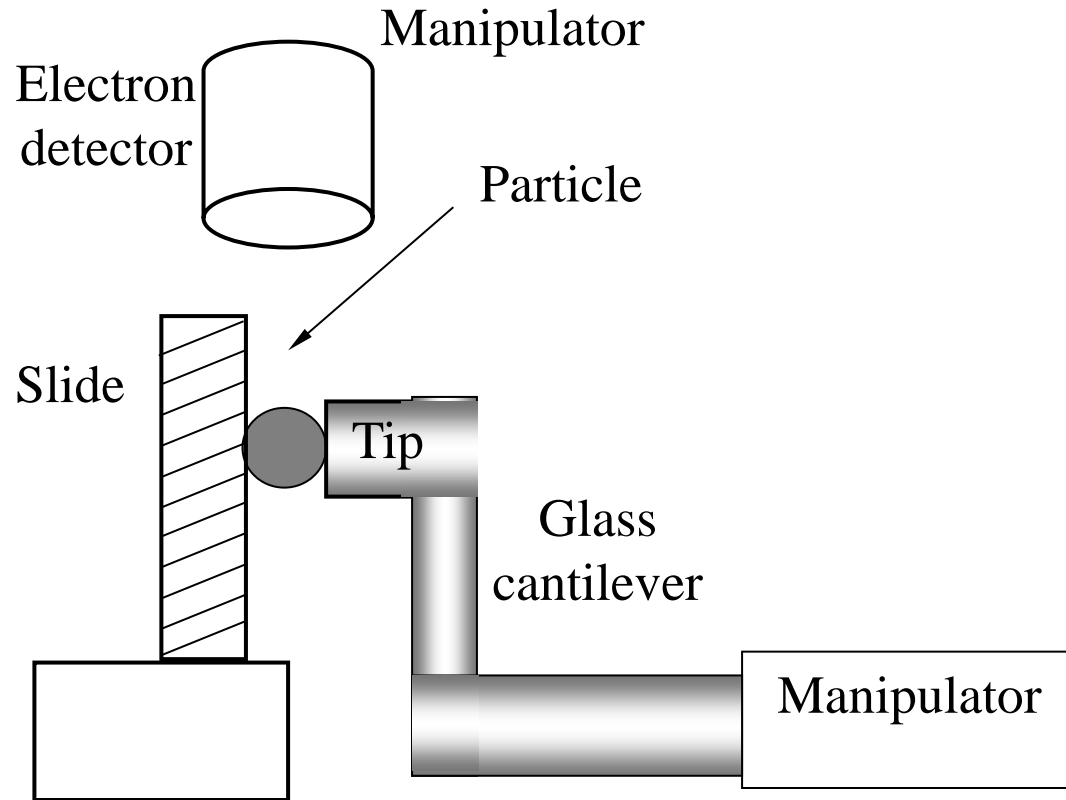
- At high deformations (e.g.  $\varepsilon > 0.1$ ), MF microcapsules deform plastically
- Consider the simplest plasticity scenario: Perfect plasticity



# FEM – Determination of rupture parameters

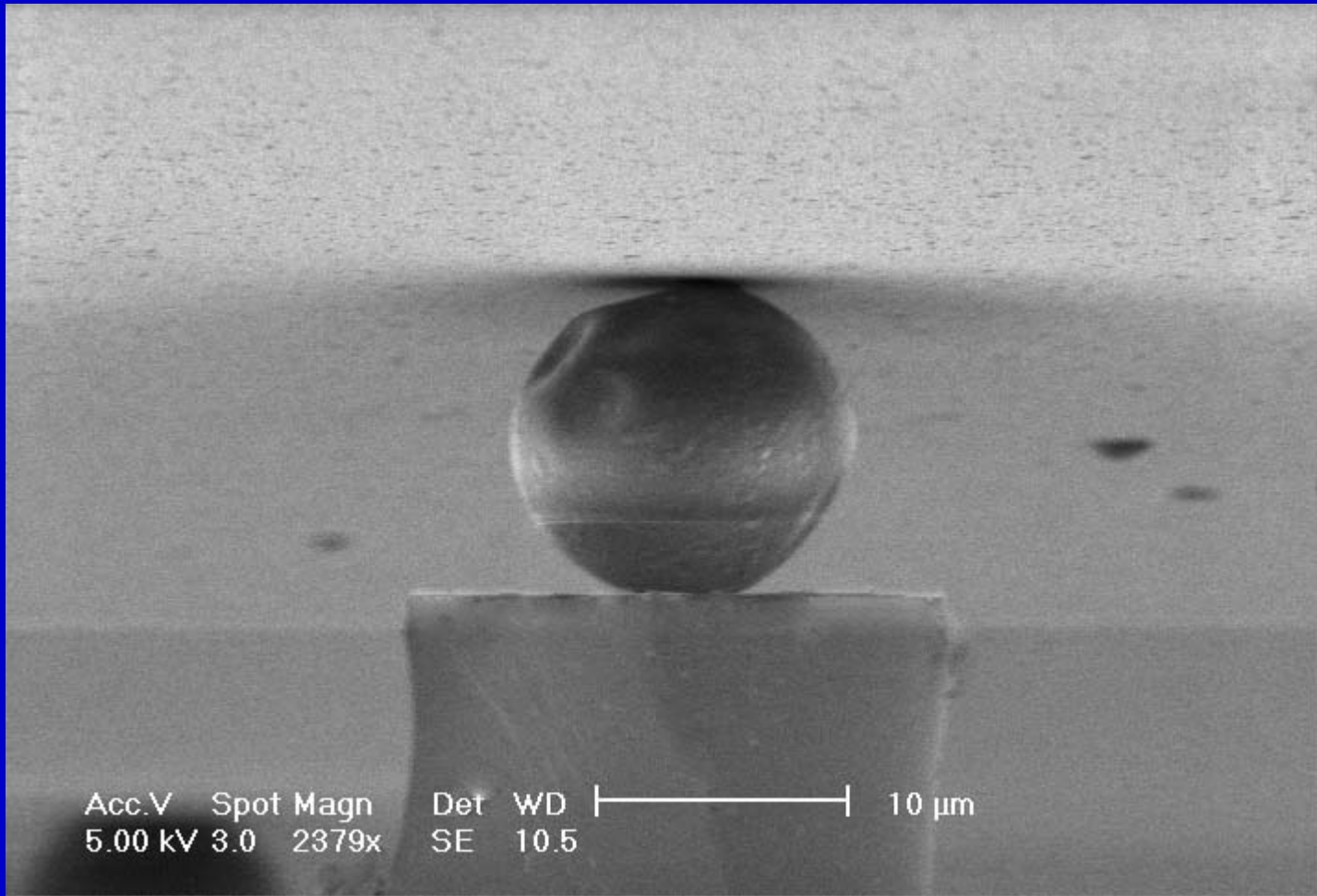


Mercadé-Prieto et al. (2012), *AIChEJ* 58: 2674–2681.



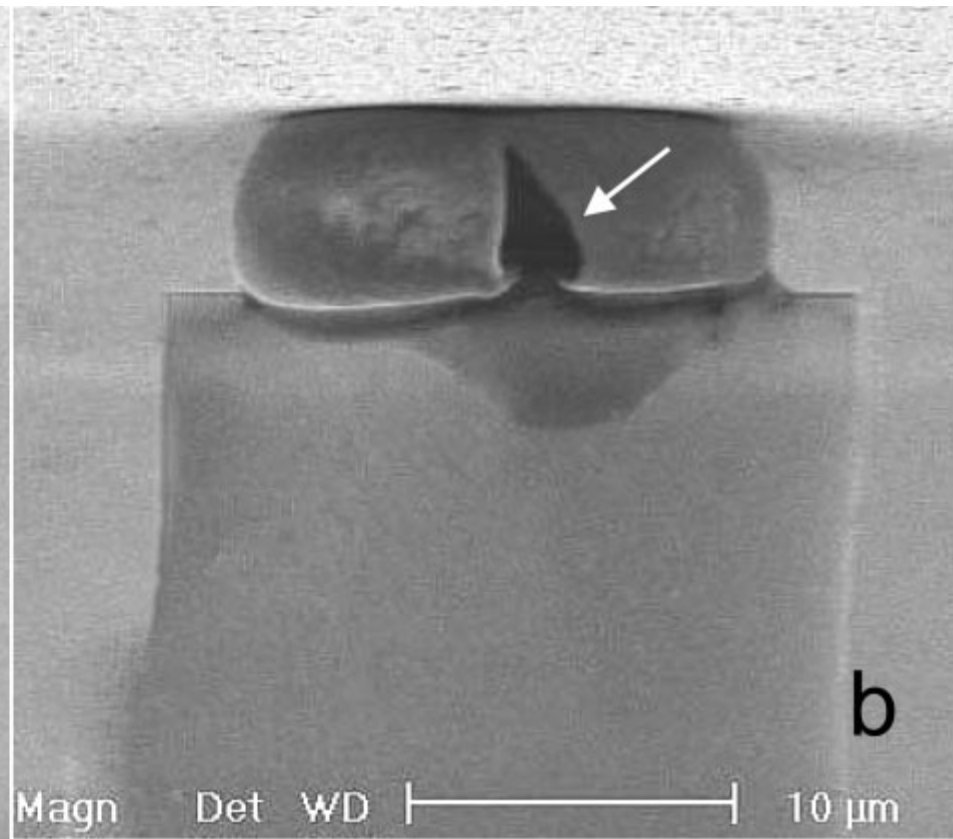
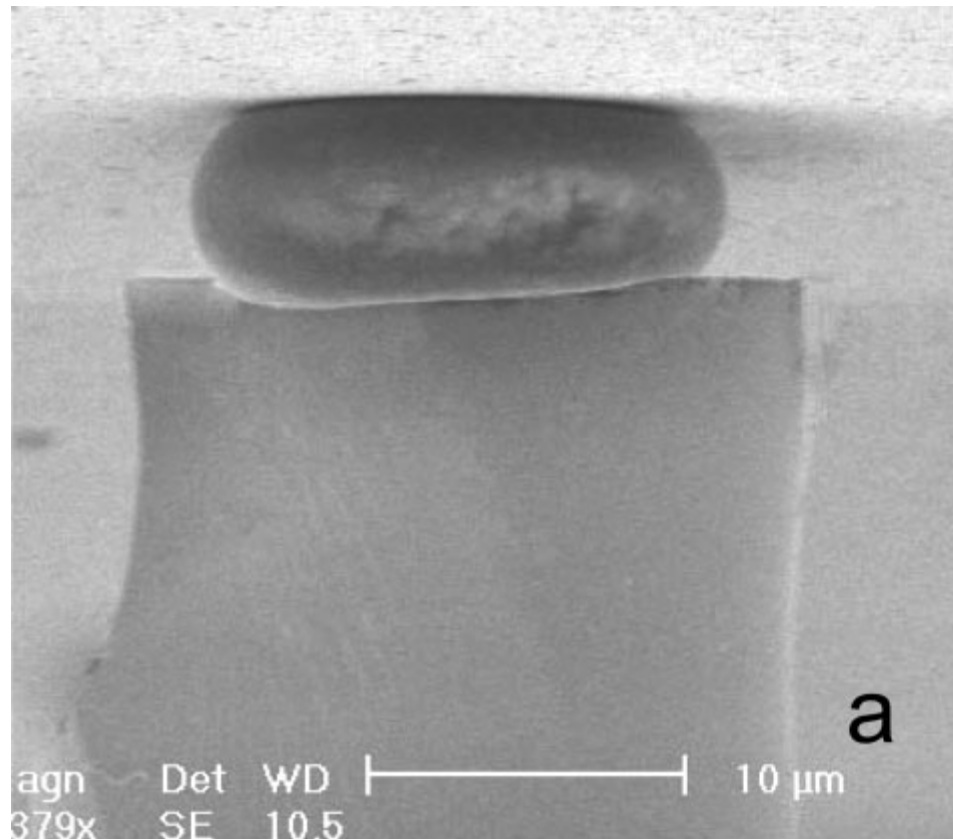
**Schematic diagram of a nano-manipulation device in an ESEM**

**Liu et al. (2005), *Mat. Sci. Technol.* 21: 289-294.**



Single MF microcapsule held between a force probe and slide in ESEM.





**Ren et al. (2007), *Materials Sci. Technol.* 23: 857-864.**

# Conclusions

- ✓ **Understanding the mechanical strength of capsules is essential to a wide range of industrial applications.**
- ✓ **The principle and limitations of different characterization techniques have been described.**
- ✓ **A case study on using micromanipulation based on diametrical compression of single capsules to characterize their mechanical strength leading to an industrial application has been presented.**

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